The Quality and Outcomes Framework (QOF) was first implemented in April 2004. This year’s release focuses on disease prevalence rates and the ‘active’ sections of the framework, including influenza indicators and some of the Cluster Network Development (CND) indicators.

The data reported is derived from the national ‘CM Web’ software as at 30 June 2019. In any year, not all of the QOF data is comparable to previous years since the points available have changed for some indicators and this is especially important to note for recent years.

Note that QOF is being replaced by Quality Assurance and Improvement Framework (QAIF) and as a result, this may be the final year data is published in this format.

For more information see Key quality information.

Key results

- 11.8% of patients aged 16 and over were recorded on the obesity register.

- In 2018-19 it was estimated that 22,165 people aged 65 or over were recorded on GP registers for dementia, and approximately 18,382 people aged 65 or over with dementia remained undiagnosed. The diagnosis rate was 54.7% up from 53.1% in 2017-18.
Introduction

The national Quality and Outcomes Framework (QOF) was introduced as part of the new General Medical Services (GMS) contract on 1 April 2004. Guidance for the QOF in Wales can be found on the NHS Wales website.

The QOF is about resourcing and then rewarding good practice. The QOF measures achievement against 50 indicators, four fewer than in 2017-18 due to the creation of a single cluster network domain indicator (CND013W) which has replaced five cluster network domain indicators (CND001W, CND009W, CND010W, CND011W, CND012W). Practices score points on the basis of achievement, or previous achievement, against each indicator, up to a maximum of 567 points.

The 2018-19 QOF element of the GMS contract consists of 3 parts, the active clinical domain – 53 points; inactive clinical domain – 314 points; and the cluster network domain – 200 points; a total of 567 points.

For 2018-19, payments for the clinical domain active indicators and cluster domain indicator practices are paid on actual achievement at 31 March 2019 but for the clinical domain inactive indicators practices are paid based on the achievement points used for payment in the 2017-18 financial year.

Not all indicators in this release are consistent with earlier years. The National Institute for Health and Care Excellence NICE operates an online facility which allows stakeholders to comment on current QOF indicators. Comments inform the review of existing QOF indicators against set criteria which include:

- evidence of unintended consequences
- significant changes to the evidence base
- changes in current practice.

These comments are fed in to a rolling programme of reviews. The focus for new indicators is provided by NICE Quality Standards.

Since 2013 changes to the GMS contract for Wales have been negotiated annually by Welsh Government, NHS Wales, and the General Practitioners Committee Wales (GPC Wales) of the British Medical Association. This reflects an increasing divergence in GMS strategic priorities across the devolved administrations.

Indicators across all domains were re-numbered from April 2013. In the guidance they are prefixed by an abbreviation of the category to which they belong, for example the Coronary Heart Disease (CHD) indicator number one is now CHD001. The addition of zeroes indicates the change from previous years numbering.

Note that these changes have an impact on the total numbers of available points for all domains.

Some indicators differ to those that apply in other countries of the UK. Where indicators are the same as in England then the numbering will be the same e.g. AF001. Where the indicator is essentially the same but differs on timeframe (including exception coding) then a 'W' has been added as a suffix, e.g. AF002W. A number of indicators developed through the NICE process have been introduced in Wales but not in England, where this is the case the indicator has been assigned the number 100 to avoid numbering issues in future years e.g. HF100W.
**QOF 2018-19 background**

The effectiveness of QOF indicators within both the clinical domain and cluster network domain has in the previous two years been restricted by “QOF relaxation”. There was agreement that the 2018-19 QOF would need to take account of the experience of the past two years, where QOF relaxation has been agreed for the last 3 months of the financial year and so the 2018-19 QOF has been structured to avoid the need for QOF relaxation.

The total number of points available in the clinical QOF Domain has remained the same at 367 points for 2018-19, but this now consists of 53 active and 314 inactive points, with several indicators moving from the clinical active QOF to the clinical inactive QOF category. The remaining 200 points have been assigned under the Cluster Network Domain. See the Appendix for further information on individual indicators.

See the table summarising the make-up of QOF points for 2017-18 and 2018-19 below:

<table>
<thead>
<tr>
<th>Domain</th>
<th>2017-18</th>
<th>2018-19</th>
</tr>
</thead>
<tbody>
<tr>
<td>Clinical Domain</td>
<td>367</td>
<td>367</td>
</tr>
<tr>
<td>Active QOF</td>
<td>202</td>
<td>53</td>
</tr>
<tr>
<td>Inactive QOF</td>
<td>165</td>
<td>314</td>
</tr>
<tr>
<td>Cluster Domain</td>
<td>200</td>
<td>200</td>
</tr>
<tr>
<td>Total</td>
<td>567</td>
<td>567</td>
</tr>
</tbody>
</table>

The detailed rationale and requirements for achievement for all clinical indicators, active and inactive within QOF for 2018-19 remain the same as in 2017-18. This information can be accessed in the 2017-18 guidance documents and is available on the NHS Wales website.

The data published for 2018-19 in this year’s release (in spreadsheets, StatsWales and the dashboards) represents only active indicators on which payment was made to GP practices.
Contents of the framework

The 2018-19 QOF can be thought of as containing three components. These are Clinical Domain Active QOF, Clinical Domain Inactive QOF and Cluster Network Domain.

The clinical domain for 2018-19 comprises two areas: active clinical QOF indicators and inactive clinical QOF indicators. Active clinical QOF will operate in the usual way with contactors achievement being calculated at the year end. Inactive clinical QOF are those indicators which contractor’s performance will not be measured for payment. Instead payment will be made to contactors at the same points as 2017-18 achievement. As outlined in the cluster network domain, contractors will peer review inactive clinical QOF in order to gain assurance on standards. It is considered the clinical aspects of these indicators have limited value in managing a patient’s condition or can be monitored through enhanced services (such as diabetes) or linked to wider national clinical audits such as Chronic Obstructive Pulmonary Disease.

Each domain consists of a set of measures of achievement, known as indicators, against which practices score points according to their level of achievement:

- **Clinical Domain Active QOF**: 19 indicators in 18 areas:
  
  Atrial fibrillation, coronary heart disease, heart failure, hypertension, stroke and transient ischaemic attack, diabetes mellitus, asthma, chronic obstructive pulmonary disease, dementia, mental health, cancer, epilepsy, learning difficulties, osteoporosis, rheumatoid arthritis, palliative care, obesity, cervical screening and influenza.

  Indicators in the clinical domain active QOF worth up to a maximum of 53 points.

- **Clinical Domain Inactive QOF**: 30 indicators in 14 areas:
  
  atrial fibrillation, hypertension, diabetes mellitus, asthma, chronic obstructive pulmonary disease, mental health, epilepsy, rheumatoid arthritis, cancer, palliative care, cervical screening, medicines management and smoking.

  Indicators in the clinical domain inactive QOF are worth a maximum of 314 points.

- **Cluster Network Development Domain**: One indicator worth up to a maximum of 200 points.
Disease registers

An important feature of the QOF is the establishment of disease registers. These are lists of patients registered with the contractor who have been diagnosed with the disease or a risk factor described in the register indicator. While it is recognised that these may not be completely accurate, it is the responsibility of the contractor to demonstrate that it has systems in place to maintain a high quality register. Verification may involve asking how the register is constructed and maintained. The health board may compare the reported prevalence with the expected prevalence and ask contractors to explain any reasons for variations. For some indicators, there is no disease register, but instead there is a target population group. For example, for cervical screening the target population group is women who are aged 25 years or over and under the age of 65.

Indicators in the clinical domain are arranged in terms of clinical areas. Most of these areas either relate to a register or to a target population group. Some areas in the clinical domain do not have a register indicator, or there may be more than one register to calculate the Adjusted Practice Disease Factor (APDF) for different indicators within the area. For all relevant disease areas, the registered population used to calculate the APDF are set out in the summary of indicators section. Indicators in the GP Cluster Network Domain have neither a disease register nor a target population. These are indicators which require a particular activity to be carried out and where the points available are awarded in full if it is carried out or not at all if it is not carried out.

Prevalence

QOF registers are collected to reward contractors for good practice, and to encourage GPs to assess and monitor particular conditions. Table 1 below shows reported disease prevalence information for the disease areas of the QOF since 2006-07. A full description of registers can be found in the Notes.

Prevalence rates in Table 1 have been defined as a percentage of patients on a practice list:

\[
\frac{\text{Number of patients on disease register}}{\text{Number of patients registered with a practice in Wales}} \times 100
\]

Prevalence rates for the seven age specific disease registers are underestimated when applied to the whole population as in previous years. Therefore an alternative calculation, based on an estimated age-specific list size, has been used to derive more precise prevalence rates this year:

\[
\frac{\text{Number of patients on disease register}}{\text{Estimated number of patients registered with a practice in Wales, of a specific age}} \times 100
\]
### Table 1: Reported disease prevalence rates

<table>
<thead>
<tr>
<th></th>
<th></th>
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</tr>
</thead>
<tbody>
<tr>
<td><strong>Prevalence rate of all patients (a)</strong></td>
<td></td>
<td></td>
<td></td>
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<tr>
<td>Asthma</td>
<td>6.5</td>
<td>6.4</td>
<td>6.6</td>
<td>6.7</td>
<td>6.7</td>
<td>6.9</td>
<td>7.0</td>
<td>6.9</td>
<td>7.1</td>
<td>6.9</td>
<td>7.0</td>
<td>7.1</td>
<td>7.1</td>
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<td></td>
</tr>
<tr>
<td>Atrial Fibrillation</td>
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<td>1.6</td>
<td>1.7</td>
<td>1.7</td>
<td>1.7</td>
<td>1.8</td>
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<td>2.0</td>
<td>2.1</td>
<td>2.2</td>
<td>2.3</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Cancer</td>
<td>0.9</td>
<td>1.1</td>
<td>1.3</td>
<td>1.5</td>
<td>1.7</td>
<td>1.9</td>
<td>2.1</td>
<td>2.2</td>
<td>2.4</td>
<td>2.6</td>
<td>2.8</td>
<td>3.0</td>
<td>3.1</td>
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<td>Cardiovascular Disease (PP)</td>
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<tr>
<td>Chronic Obstructive Pulmonary Disease</td>
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<td>1.9</td>
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<td>2.0</td>
<td>2.0</td>
<td>2.1</td>
<td>2.1</td>
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<td>2.2</td>
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<td>2.3</td>
<td>2.4</td>
<td></td>
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</tr>
<tr>
<td>Coronary Heart Disease</td>
<td>4.3</td>
<td>4.2</td>
<td>4.2</td>
<td>4.1</td>
<td>4.0</td>
<td>4.0</td>
<td>3.9</td>
<td>3.9</td>
<td>3.8</td>
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<td>3.7</td>
<td>3.7</td>
<td>3.6</td>
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<td>0.6</td>
<td>0.7</td>
<td>0.7</td>
<td>0.7</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Depression 01 (patients with diabetes and/or CHD)</td>
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<td>7.7</td>
<td>7.8</td>
<td>7.9</td>
<td>8.1</td>
<td>8.2</td>
<td>8.3</td>
<td></td>
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<tr>
<td>Depression 0405 (new cases of depression)</td>
<td>7.3</td>
<td>7.6</td>
<td>8.2</td>
<td>8.7</td>
<td>9.0</td>
<td>9.5</td>
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<tr>
<td>Depression 0607 (new cases of depression)</td>
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<td>4.5</td>
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<td></td>
</tr>
<tr>
<td>Depression (new cases of depression)</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td>5.0</td>
<td>5.8</td>
<td>6.6</td>
<td>7.7</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Heart Failure</td>
<td>1.0</td>
<td>0.9</td>
<td>0.9</td>
<td>0.9</td>
<td>0.9</td>
<td>0.9</td>
<td>0.9</td>
<td>1.0</td>
<td>1.0</td>
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<td>1.0</td>
<td>1.0</td>
<td>1.1</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Heart Failure (due to Left Ventricular Dysfunction)</td>
<td>0.5</td>
<td>0.5</td>
<td>0.5</td>
<td>0.5</td>
<td>0.5</td>
<td>0.5</td>
<td>0.5</td>
<td>0.2</td>
<td>0.3</td>
<td></td>
<td></td>
<td></td>
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<td></td>
<td></td>
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<tr>
<td>Hypertension</td>
<td>14.3</td>
<td>14.6</td>
<td>14.9</td>
<td>15.1</td>
<td>15.4</td>
<td>15.5</td>
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<td>15.3</td>
<td>15.3</td>
<td>15.2</td>
<td>15.2</td>
</tr>
<tr>
<td>Hypothyroidism</td>
<td>3.1</td>
<td>3.3</td>
<td>3.4</td>
<td>3.5</td>
<td>3.6</td>
<td>3.7</td>
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<td></td>
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<tr>
<td>Mental Health</td>
<td>0.7</td>
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<td>0.8</td>
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<td>0.8</td>
<td>0.9</td>
<td>0.9</td>
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<td>1.0</td>
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<tr>
<td>Palliative Care</td>
<td>0.1</td>
<td>0.1</td>
<td>0.1</td>
<td>0.1</td>
<td>0.1</td>
<td>0.1</td>
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<td>0.3</td>
<td>0.3</td>
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<td></td>
</tr>
<tr>
<td>Peripheral Arterial Disease LVD</td>
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<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td>0.7</td>
<td>0.7</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Stroke and Ischaemic Attacks</td>
<td>2.0</td>
<td>2.0</td>
<td>2.0</td>
<td>2.0</td>
<td>2.1</td>
<td>2.1</td>
<td>2.0</td>
<td>2.0</td>
<td>2.0</td>
<td>2.1</td>
<td>2.1</td>
<td>2.1</td>
<td>2.1</td>
<td></td>
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</tr>
</tbody>
</table>

**Age–specific prevalence rates for specific disease registers (l)**

| Chronic Kidney Disease (b)                                             | 2.9  | 3.6  | 3.9  | 4.2  | 4.3  | 4.3  | 4.5  | 4.6  |      |      |      |      |      |      |       |
| Diabetes Mellitus (e)                                                   | 5.3  | 5.5  | 5.7  | 6.0  | 6.3  | 6.5  | 6.7  | 6.9  | 7.1  | 7.3  | 7.3  | 7.4  | 7.6  |      |       |
| Epilepsy (f)                                                            | 0.9  | 0.9  | 0.9  | 0.9  | 0.9  | 0.9  | 0.9  | 0.9  | 0.9  | 0.9  | 0.9  | 1.0  | 1.0  |      |       |
| Learning Disabilities (h)                                               | 0.4  | 0.4  | 0.4  | 0.5  | 0.5  | 0.5  | 0.5  | 0.5  |      |      |      |      |      |      |       |
| Obesity (i)                                                             | 11.9 | 11.7 | 11.8 | 12.4 | 12.7 | 12.7 | 12.6 | 12.6 | 11.6 | 11.4 | 11.7 | 11.8 | 11.8 |      |       |
| Osteoporosis (j)                                                        |      |      |      |      |      |      | 0.2  | 0.4  | 0.5  | 0.6  | 0.6  | 0.6  | 0.6  |      |       |
| Rheumatoid arthritis (k)                                                |      |      |      |      |      |      | 0.8  | 0.8  | 0.8  | 0.8  | 0.8  | 0.8  | 0.9  |      |       |

**Notes:**

(a) The denominator relates to the total number of patients registered with a practice in Wales, with no restriction for age.
(b) Chronic Kidney Disease register only includes patients aged 18 years and over. This register was retired in 2014-15.
(c) The Depression0405 register includes patients diagnosed with depression ever. The Depression0607 register includes patients diagnosed with depression in the preceding 1 April to 31 March.
(d) The Depression register for 2013-14 includes patients aged 18 and over diagnosed with depression in the preceding 1 April to 31 March.
(e) Diabetes register only includes patients aged 17 and over.
(f) Epilepsy register only includes patients aged 18 years and over.
(g) HF LVD: Note that the rules for patients being recorded on this register changed substantially between 2012-13 and 2013-14.
(h) The Learning Disability register includes patients of all ages in 2014.
(i) The register only includes patients aged 16 and over. Note that the National Survey shows considerably higher rates of obesity than QOF for Wales. This may be because obesity is often only picked up by GPs when patients visit the doctor, which is more common amongst older people.
(j) Osteoporosis register only includes patients aged 50 and over.
(k) Rheumatoid Arthritis only includes patients aged 16 and over.
(l) These registers are age-specific (see notes)
(m) Patients aged 15 and over whose notes recorded smoking status in the preceding 27 months.
(n) The data published for 2018-19 represents only the data for active indicators on which payment was made to GP practices.

Source: CM Web

Quality and Outcomes Framework Statistics for Wales, 2018-19
Summary: Table 1 shows the reported disease prevalence rates from the registers captured by QOF. QOF provides a number of registers on chronic conditions and key public health indicators. Many of these registers show increasing rates of prevalence since 2006-07.

The prevalence of cancer, cardiovascular disease, dementia, depression, hypertension, chronic kidney disease, diabetes and osteoporosis have shown the largest percentage point increases over the time series’ available. Recorded rates of cancer have increased from 0.9 per cent to 3.1 per cent between 2006-07 and 2018-19. However, whilst the prevalence of atrial fibrillation and hypertension have increased, since the first year of data, the prevalence of coronary heart disease has fallen.
Newer registers should be treated with caution in the first few years of reporting as they are still being established and validated. In 2012-13 there were two new registers, osteoporosis and peripheral arterial disease (PAD), and in 2013-14 there was one new register, rheumatoid arthritis. An influenza domain (FLU) was introduced in 2015-16.

Some registers have become inactive or have retired over the years, for example the chronic kidney disease, hypothyroidism and peripheral arterial disease registers were retired in 2014-15. The heart failure (due to left ventricular dysfunction) register was retired in 2015-16. Depression became inactive in 2018-19.

The QOF data does not provide information on co-morbidities and some patients may be recorded on more than one register. Some of the long-standing registers became more stable after their first few years of inclusion on the QOF.

The numbers of patients recorded on other registers such as diabetes and cancer continues to rise, year on year, which can be seen on StatsWales:
Dementia register

Estimates of the numbers of people with dementia in Wales have been made using prevalence rates published in a Lancet paper from the CFAS (Cognitive Function and Ageing Study) II study. The number of people diagnosed with dementia are those on the QOF dementia disease register. The difference between this and the number of those estimated to have dementia using the prevalence rates from the CFAS II study can be thought of as those who potentially have the disease but remain undiagnosed. NHS England has also changed its methodology to use the CFAS II rates. For more information see Notes.

Although the dementia disease register includes patients of all ages, in practice the majority are aged 65 or over. Historical Audit+ data for Welsh practices shows that the proportion of patients on dementia registers who are aged under 65 is around 3%; the register figures in Table 2 and Chart 2 have been reduced by this amount so that numbers diagnosed and with dementia both relate to those aged 65 or over.

Table 2: Number of people diagnosed and estimated number undiagnosed with dementia, 2018-19

<table>
<thead>
<tr>
<th>Health Board</th>
<th>Number diagnosed (QOF)</th>
<th>Males: with dementia (CFAS II)</th>
<th>Females: with dementia (CFAS II)</th>
<th>Persons: with dementia (CFAS II)</th>
<th>Number undiagnosed (CFAS II - QOF)</th>
<th>% diagnosed (QOF/CFAS II)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Betsi Cadwaladr</td>
<td>5,262</td>
<td>3,765</td>
<td>6,319</td>
<td>10,084</td>
<td>4,822</td>
<td>52.2%</td>
</tr>
<tr>
<td>Powys</td>
<td>1,014</td>
<td>852</td>
<td>1,415</td>
<td>2,268</td>
<td>1,254</td>
<td>44.7%</td>
</tr>
<tr>
<td>Hywel Dda</td>
<td>2,833</td>
<td>2,234</td>
<td>3,679</td>
<td>5,913</td>
<td>3,079</td>
<td>47.9%</td>
</tr>
<tr>
<td>Abertawe Bro Morgannwg</td>
<td>3,935</td>
<td>2,385</td>
<td>4,244</td>
<td>6,629</td>
<td>2,694</td>
<td>59.4%</td>
</tr>
<tr>
<td>Cwm Taf</td>
<td>1,700</td>
<td>1,265</td>
<td>2,134</td>
<td>3,399</td>
<td>1,699</td>
<td>50.0%</td>
</tr>
<tr>
<td>Aneurin Bevan</td>
<td>4,124</td>
<td>2,673</td>
<td>4,505</td>
<td>7,177</td>
<td>3,053</td>
<td>57.5%</td>
</tr>
<tr>
<td>Cardiff &amp; Vale</td>
<td>3,296</td>
<td>1,745</td>
<td>3,331</td>
<td>5,077</td>
<td>1,781</td>
<td>64.9%</td>
</tr>
<tr>
<td>Wales</td>
<td>22,165</td>
<td>14,920</td>
<td>25,628</td>
<td>40,547</td>
<td>18,382</td>
<td>54.7%</td>
</tr>
</tbody>
</table>

Source: QOF data, CFAS II study prevalences, ONS mid year estimates

Note: People aged 65 or over (Number diagnosed (on QOF registers) reduced by 3% to exclude under 65 year olds)

Table 2 shows the estimated proportion of patients who have been diagnosed with dementia (i.e. on the QOF register) as a percentage of the resident population aged 65 or over who are thought to have dementia according to the CFAS II study calculations.

Using the Lancet paper prevalence rates for dementia, it is estimated that in 2018-19 there were 40,547 people aged 65 or over in Wales who had dementia, an increase from 39,995 in 2017-18.

In 2018-19 it was estimated that 22,165 people aged 65 or over were recorded on GP registers for dementia, and approximately 18,382 people aged 65 or over with dementia remained undiagnosed.

In 2018-19, 54.7% of those estimated to have dementia by CFAS II in Wales had been diagnosed with dementia through QOF. Cardiff & Vale had the highest diagnosis rate of 64.9 per cent whilst Powys had the lowest, 44.7 per cent.
**Chart 2: Estimated percentage of the population in Wales aged 65 years or over, with dementia, who are diagnosed (QOF register & CFAS II study), by health board and year (a)**

(a) People aged 65 or over (dementia register reduced by 3% to exclude under 65 year olds)

**Summary:** Chart 2 shows the estimated percentage of people in Wales who have been diagnosed with dementia (i.e. on the QOF register) as a percentage of the resident population aged 65 years or over, with dementia, by health board over the last five financial years.

The estimated diagnosis rates of dementia have increased in all health boards except for Powys, where there has been a reduction from 46.3 per cent in 2014-15 to 44.7 per cent in 2018-19.

**Latest Data:** Cardiff and Vale had the highest estimated diagnosis rate, at 64.9 per cent, while Powys had the lowest, at 44.7 per cent. The diagnosis rate for Wales was 54.7 per cent.

**Annual Change:** Between 2017-18 and 2018-19, the estimated diagnosis rate has increased in every health board except Powys, where it decreased from 45.7 per cent to 44.7 per cent. The largest increase in diagnosis rate was in Aneurin Bevan, where it increased from 54.8% to 57.5%.
Map 1: Locations of GP practices, Quality and Outcome Framework

WALES
LOCATIONS OF GP PRACTICES, 2018-19

- GP practice (as at 31st March 2019)

Local Authority Boundary
Local Health Board Boundary

Local Health Boards
1 Betsi Cadwaladr University
2 Powys Teaching
3 Hywel Dda University
4 Abertawe Bro Morgannwg University
5 Cwm Taf University
6 Cardiff & Vale University
7 Aneurin Bevan University

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September 2019
Total and domain level achievement

Of the 567 total QOF points, GP practices were able to opt out of the majority of the total points. The only elements of QOF which practices were not able to opt out of during the period to 31 March 2019 were the two influenza indicators worth 20 points.

Influenza (flu) (Clinical Domain Active QOF)

New flu registers were introduced in 2015-16. These replaced indicators previously recorded separately in chronic condition registers and combined them to report on two indicators.

Flu is highly infectious, spreads easily and can cause serious illness. Influenza vaccination is recommended for all persons aged 65 or over or who have CHD, COPD, Diabetes or stroke.

Note that the percentage of the registered population aged 65 years or more who have had influenza immunisation in the preceding 1 August to 31 March differ to the figures in the Public Health Wales’ Seasonal influenza in Wales 2018/19 Annual Report due to QOF using exclusions and exceptions in the denominator therefore resulting in a higher percentage of patients aged 65 or over being present on the influenza registers.

<table>
<thead>
<tr>
<th>Description</th>
<th>Indicator</th>
</tr>
</thead>
<tbody>
<tr>
<td>FLU001W. The percentage of the registered population aged 65 years or more who have had influenza immunisation in the preceding 1 August to 31 March.</td>
<td>FLU001W</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Description</th>
<th>Indicator</th>
</tr>
</thead>
<tbody>
<tr>
<td>FLU002W. The percentage of the patients aged under 65 years included in (any of) the registers for CHD, COPD, Diabetes or Stroke who have had influenza immunisation in the preceding 1 August to 31 March.</td>
<td>FLU002W</td>
</tr>
</tbody>
</table>

Chart 3: Percentage of the registered population (a) who have had influenza immunisation in the preceding 1 August to 31 March (b)

In 2018-19 around 453,505 patients (78.6%) of the registered population aged 65 and over had received an influenza immunisation in the preceding 1 August to 31 March, a slight decrease from the 80.1% in 2017-18 (FLU001W).

71.9% of patients aged under 65 years included in (any of) the registers for CHD, COPD, Diabetes or Stroke had influenza immunisation in the preceding 1 August to 31 March, down from 75.2% in 2017-18 (FLU002W).

(a) see indicator description
(b) Prior to 2015, the indicators were measured in the separate chronic disease registers
Table 3: Reported disease prevalence rates, by Local health board, 2018-19

<table>
<thead>
<tr>
<th>Prevalence rate of all patients (a)</th>
<th>Betsi Cadwaladr</th>
<th>Powys</th>
<th>Hywel Dda</th>
<th>Abertawe Bro Morgannwg</th>
<th>Cwm Taf</th>
<th>Cardiff &amp; Vale</th>
<th>Aneurin Bevan</th>
<th>Wales</th>
</tr>
</thead>
<tbody>
<tr>
<td>Asthma</td>
<td>7.2</td>
<td>7.1</td>
<td>7.0</td>
<td>7.5</td>
<td>7.2</td>
<td>6.7</td>
<td>6.9</td>
<td>7.1</td>
</tr>
<tr>
<td>Atrial fibrillation</td>
<td>2.5</td>
<td>2.8</td>
<td>2.9</td>
<td>2.4</td>
<td>2.3</td>
<td>1.8</td>
<td>2.2</td>
<td>2.3</td>
</tr>
<tr>
<td>Cancer</td>
<td>3.5</td>
<td>3.7</td>
<td>3.6</td>
<td>3.1</td>
<td>2.9</td>
<td>2.6</td>
<td>2.9</td>
<td>3.1</td>
</tr>
<tr>
<td>Chronic obstructive pulmonary disease</td>
<td>2.7</td>
<td>2.4</td>
<td>2.4</td>
<td>2.3</td>
<td>2.8</td>
<td>1.7</td>
<td>2.3</td>
<td>2.4</td>
</tr>
<tr>
<td>Coronary heart disease</td>
<td>3.8</td>
<td>4.1</td>
<td>4.1</td>
<td>3.7</td>
<td>3.8</td>
<td>2.7</td>
<td>3.7</td>
<td>3.6</td>
</tr>
<tr>
<td>Dementia</td>
<td>0.8</td>
<td>0.8</td>
<td>0.7</td>
<td>0.7</td>
<td>0.6</td>
<td>0.7</td>
<td>0.7</td>
<td>0.7</td>
</tr>
<tr>
<td>Heart failure</td>
<td>1.1</td>
<td>1.3</td>
<td>1.2</td>
<td>1.1</td>
<td>0.9</td>
<td>0.9</td>
<td>1.1</td>
<td>1.1</td>
</tr>
<tr>
<td>Hypertension</td>
<td>16.8</td>
<td>17.4</td>
<td>16.8</td>
<td>15.4</td>
<td>16.9</td>
<td>12.6</td>
<td>16.2</td>
<td>15.8</td>
</tr>
<tr>
<td>Learning disability [e]</td>
<td>0.5</td>
<td>0.4</td>
<td>0.5</td>
<td>0.5</td>
<td>0.5</td>
<td>0.4</td>
<td>0.5</td>
<td>0.5</td>
</tr>
<tr>
<td>Mental health</td>
<td>1.0</td>
<td>1.0</td>
<td>1.0</td>
<td>1.2</td>
<td>1.0</td>
<td>0.9</td>
<td>0.9</td>
<td>1.0</td>
</tr>
<tr>
<td>Palliative care</td>
<td>0.3</td>
<td>0.5</td>
<td>0.4</td>
<td>0.2</td>
<td>0.2</td>
<td>0.2</td>
<td>0.4</td>
<td>0.3</td>
</tr>
<tr>
<td>Stroke and transient ischaemic attack</td>
<td>2.1</td>
<td>2.6</td>
<td>2.4</td>
<td>2.3</td>
<td>2.1</td>
<td>1.7</td>
<td>2.0</td>
<td>2.1</td>
</tr>
</tbody>
</table>

Age–specific prevalence rates for specific disease registers [j]

<table>
<thead>
<tr>
<th>Diabetes mellitus [c]</th>
<th>7.5</th>
<th>7.6</th>
<th>7.8</th>
<th>7.7</th>
<th>8.1</th>
<th>6.2</th>
<th>8.2</th>
<th>7.6</th>
</tr>
</thead>
<tbody>
<tr>
<td>Epilepsy [d]</td>
<td>0.9</td>
<td>0.8</td>
<td>1.0</td>
<td>1.0</td>
<td>1.1</td>
<td>1.1</td>
<td>1.0</td>
<td>1.0</td>
</tr>
<tr>
<td>Obesity [f]</td>
<td>10.6</td>
<td>11.7</td>
<td>11.6</td>
<td>11.8</td>
<td>15.1</td>
<td>9.7</td>
<td>13.6</td>
<td>11.8</td>
</tr>
<tr>
<td>Osteoporosis [g]</td>
<td>0.7</td>
<td>0.7</td>
<td>0.5</td>
<td>0.8</td>
<td>0.4</td>
<td>0.8</td>
<td>0.6</td>
<td>0.6</td>
</tr>
<tr>
<td>Rheumatoid arthritis [h]</td>
<td>0.9</td>
<td>1.1</td>
<td>1.1</td>
<td>0.9</td>
<td>0.9</td>
<td>0.6</td>
<td>0.9</td>
<td>0.9</td>
</tr>
</tbody>
</table>

Percentage (%)

Betsi Cadwaladr Powys Hywel Dda Abertawe Bro Morgannwg Cwm Taf Cardiff & Vale Aneurin Bevan Wales

NOTES:
(a) The denominator relates to the total number of patients registered with a practice in Wales, with no restriction for age.
(b) The depression register for 2013-14 onwards includes patients aged 18 and over diagnosed with depression in the preceding 1 April to 31 March.
(c) The diabetes register only includes patients aged 17 and over.
(d) The epilepsy register only includes patients aged 18 years and over.
(e) The learning disability register includes patients of all ages in 2014-15. Prior to 2014-15, the register only included patients aged 18 years and over.
(f) The obesity register only includes patients aged 16 and over.
(g) The osteoporosis register only includes patients aged 50 and over.
(h) The rheumatoid arthritis register only includes patients aged 16 and over.
(i) These registers are age-specific (see notes).
(j) No data exists for this time period.

Table 3 shows the percentages of patients recorded on the disease registers in 2018-19 by local health board. Variation in prevalence rates would be expected given that ill health, age structures, and the proportion of elderly people, will differ between health boards. The location of some services such as care homes will also have an effect.

Hypertension is the register with the highest prevalence rate for all health boards, Powys recorded the highest prevalence rate for this particular register at 17.4 per cent and Cardiff and the vale recorded the lowest at 12.6 per cent.
Chart 4: Estimated percentage of the population in Wales, aged 65 years or over with dementia, who are diagnosed (QOF register), by health board, 2018-19

Chart 4 shows the estimates patients diagnosed with dementia (i.e. on the QOF register) as a percentage of the resident population aged 65 or over who are calculated to have dementia (through CFAS II). It shows that in 2018-19, Cardiff & Vale had the highest diagnoses rate of 64.9 per cent whilst Powys had the lowest, 44.7 per cent.

Influenza registers

Chart 5: Percentage of the registered population aged 65 years or more who have had influenza immunisation in the preceding 1 August 2018 to 31 March 2019, by health board.

Chart 5 shows the percentage of the registered population aged 65 years or more who have had influenza immunisation in the preceding 1 August 2018 to 31 March 2019, by health board. Across Wales, 78.6% of the population aged 65 and over and registered with a GP, had received influenza immunisation in the previous year; this ranged from 74.5% in Hywel Dda to 81.5% in Betsi Cadwaladr.

Chart 6: Percentage of patients aged under 65 years included in (any of) the registers for CHD, COPD, Diabetes or Stroke who have had influenza immunisation in the preceding 1 August 2018 to 31 March 2019, by health board.

Chart 6 shows the percentage of patients aged under 65 years included in (any of) the registers for CHD, COPD, Diabetes or Stroke who have had influenza immunisation in the preceding 1 August 2018 to 31 March 2019. Across Wales, 71.9% of the population aged under 65 and who were included in (any of) the registers for CHD, COPD, diabetes or stroke and registered with a GP, had received influenza immunisation in the previous year; this ranged from 66.3% in Hywel Dda to 74.6% in Betsi Cadwaladr.
Cluster prevalence

Chart 7: Cluster atrial fibrillation prevalence rates, 2018-19

Chart 7 shows the clusters which had the 10 highest and 10 lowest atrial fibrillation prevalence rates. The cluster with the highest prevalence rate for atrial fibrillation was South Pembrokeshire at 3.5% and the cluster with the lowest prevalence rate was City and Cardiff South at 0.8%.

Chart 8: Cluster palliative care prevalence rates, 2018-19

Chart 8 shows which clusters had the 10 highest and 10 lowest Palliative Care prevalence rates. South Powys had the highest prevalence rates at 0.9% and Cwmtawe, South Merthyr Tydfil, Upper Valleys and North Cynon had the lowest prevalence rate at 0.1%.

Chart 9: Cluster mental health prevalence rates, 2018-19

Chart 9 shows which clusters had the 10 highest and 10 lowest Mental Health prevalence rates. Afan had highest prevalence rate at 1.5% and Monmouthshire South had the lowest prevalence rate at 0.6%.
Chart 10: Cluster diabetes mellitus prevalence rates, 2018-19

Chart 10 shows which clusters had the 10 highest and 10 lowest Diabetes Mellitus prevalence rates. Blaenau Gwent East had highest prevalence rate at 7.7% and Cardiff South East had the lowest prevalence rate at 4.1%. Note, the denominator for this chart is based on total practice population but the numerator is based on those aged 17 and over.

Chart 11: Cluster dementia prevalence rates, 2018-19

Chart 11 shows which clusters had the 10 highest and 10 lowest Dementia prevalence rates. Conwy East, North Denbighshire and Bridgend West Network had the highest prevalence rates at 1.1% and Cardiff South East, North Rhondda, South Merthyr Tydfil, Newport East and North East Flintshire had the lowest prevalence rates at 0.4%.

Chart 12: Cluster chronic obstructive pulmonary disease (COPD) prevalence rates, 2018-19

Chart 12 shows which clusters had the 10 highest and 10 lowest chronic obstructive pulmonary disease (COPD) prevalence rates. South Cynon had highest prevalence rate at 4.1% and City & Cardiff South and Cardiff North had the lowest prevalence rate at 1.2%.
Cluster Network Development Domain

A GP cluster network is defined as a cluster or group of GP practices within the local health board’s area of operation. In 2014-15 the QOF Quality and Productivity domain was replaced with a new GP Cluster Network Development Domain.

Indicators in the GP Cluster Network Development Domain have neither a disease register nor a target population. These are indicators which require a particular activity to be carried out and where the points available are awarded in full if it is carried out or not at all if it is not carried out.

The number of points available in the Cluster Network Domain increased from 160 points in 2016-17 to 200 points in 2017-18 and has remained at 200 points for 2018/19. The additional 40 points have been made available through the points transferred from the retired clinical indicators.

The Cluster Network Domain for 2018/19 consists of one high level indicator CND013W, which replaces CND001W, CND009W, CND010W, CND011W and CND012W. The intent behind rationalisation of the cluster domain is to act as an enabler to clusters, giving them more control over their work and to enable them to shape their own programme to deliver local priorities.

The changes made for 2018/19 with the removal of defined prescriptive QOF indicators are in line with the principles of the recommendations contained in Health and Social Care Committee inquiry into Primary Care Clusters report and the ongoing contract reform work being taken forward by the demonstrating quality work stream.

Summary: In 2018-19, 98.5 per cent of practices achieved maximum points (200) for the clinical network domain indicator, CND013W

<table>
<thead>
<tr>
<th>CND013W – The contractor actively engages in the work of the cluster network through cluster meetings. The cluster network will meet on 5 occasions during the year; the timing of meetings should be agreed around the planning of the health board and to avoid the period of winter pressure.</th>
<th>200 points</th>
</tr>
</thead>
<tbody>
<tr>
<td>Total Cluster Network Domain QOF</td>
<td>200 points</td>
</tr>
</tbody>
</table>
Audit +

Audit+ is a centrally funded analysis tool which is available to GP practices in Wales. Audit+ is non-mandatory which enables a GP practice to choose whether or not to use this analysis tool.

The disease prevalence charts display the age-specific prevalence for each disease area, that is, the percentage of patients in each age group who are recorded on each disease register in Audit+. The data is at 31 March 2019 and is from 410 practices, two practices who provided data for QOF disease registers were unable to provide Audit+ data.

Notes:
- the scale on charts 13 to 31 is not consistent and will differ across charts.
- patients with gender not known/recorded are included in the total section of the charts.

Chart 13: Percentage of patients on the hypertension register, by gender and age group, 2018-19

![Hypertension Chart]

Chart 14: Percentage of patients on the obesity register, by gender and age group, 2018-19

![Obesity Chart]
Chart 15: Percentage of patients on the depression register, by gender and age group, 2018-19

Chart 16: Percentage of patients on the asthma register, by gender and age group, 2018-19

Chart 17: Percentage of patients on the diabetes register, by gender and age group, 2018-19
Chart 18: Percentage of patients on the cardiovascular disease register, by gender and age group, 2018-19

Chart 19: Percentage of patients on the coronary heart disease register, by gender and age group, 2018-19

Chart 20: Percentage of patients on the cancer register, by gender and age group, 2018-19
Chart 21: Percentage of patients on the COPD register, by gender and age group, 2018-19

Chart 22: Percentage of patients on the atrial fibrillation register, by gender and age group, 2018-19

Chart 23: Percentage of patients on the stroke and transient ischaemic attack (TIA) register, by gender and age group, 2018-19
Chart 24: Percentage of patients on the heart failure register, by gender and age group, 2018-19

Chart 25: Percentage of patients on the mental health register, by gender and age group, 2018-19

Chart 26: Percentage of patients on the epilepsy register, by gender and age group, 2018-19
Chart 27: Percentage of patients on the rheumatoid arthritis register, by gender and age group, 2018-19

Chart 28: Percentage of patients on the learning disability register, by gender and age group, 2018-19

Chart 29: Percentage of patients on the palliative care register, by gender and age group, 2018-19
Chart 30: Percentage of patients on the osteoporosis register, by gender and age group, 2018-19

Chart 31: Percentage of patients on the dementia register, by gender and age group, 2018-19
Notes
For time series charts and tables it must be noted that due to changes in the Business Rules and Read Codes the achievement for any year may not be exactly comparable to other years.

Disease areas
Descriptions of the 2018-19 disease areas are listed below, active indicators are in **bold**:

<table>
<thead>
<tr>
<th>Disease Area</th>
<th>Register description</th>
<th>QOF indicators</th>
</tr>
</thead>
<tbody>
<tr>
<td>Asthma</td>
<td>Patients diagnosed with asthma that have been prescribed asthma-related drugs in the preceding 12 months.</td>
<td>AST001, 003, 004</td>
</tr>
<tr>
<td>Atrial fibrillation (AF)</td>
<td>Patients diagnosed with atrial fibrillation.</td>
<td>AF001, 006, 007</td>
</tr>
<tr>
<td>Cancer</td>
<td>Patients diagnosed with cancer since April 2003 excluding non-melanotic skin cancers.</td>
<td>CAN001, 003W</td>
</tr>
<tr>
<td>Chronic Obstructive Pulmonary Disease</td>
<td>Patients diagnosed with COPD ever.</td>
<td>COPD001-003, 005</td>
</tr>
<tr>
<td>Coronary Heart Disease (CHD)</td>
<td>Patients diagnosed with CHD ever.</td>
<td>CHD001</td>
</tr>
<tr>
<td>Dementia (DEM)</td>
<td>Patients diagnosed with dementia ever.</td>
<td>DEM001, 002</td>
</tr>
<tr>
<td>Diabetes Mellitus</td>
<td>Patients aged 17 and over diagnosed with diabetes.</td>
<td>DM001-003, 007, 012, 014</td>
</tr>
<tr>
<td>Epilepsy</td>
<td>Patients aged 18 and over diagnosed with epilepsy, receiving epilepsy medication.</td>
<td>EP001, 003W</td>
</tr>
<tr>
<td>Heart Failure</td>
<td>Patients diagnosed with heart failure ever.</td>
<td>HF001</td>
</tr>
<tr>
<td>Hypertension</td>
<td>Patients diagnosed with established hypertension ever.</td>
<td>HYP001, 006</td>
</tr>
<tr>
<td>Influenza (FLU)</td>
<td>Patients aged 65+ who have had influenza immunisation</td>
<td>FLU001W, FLU002W</td>
</tr>
<tr>
<td>Learning Disability (LD)</td>
<td>Patients diagnosed with a learning disability ever.</td>
<td>LD001</td>
</tr>
<tr>
<td>Mental Health</td>
<td>Patients diagnosed with schizophrenia, bipolar affective disorder or other psychoses and other patients on lithium therapy.</td>
<td>MH001, 002, 007, 009, 010, 011W</td>
</tr>
<tr>
<td>Obesity (OB)</td>
<td>Patients aged 16 and over with an obesity diagnosis recorded (a BMI of 30 or greater) within 15 months of the QOF reference date.</td>
<td>OB001</td>
</tr>
<tr>
<td>Indicator</td>
<td>Description</td>
<td>Code</td>
</tr>
<tr>
<td>-----------</td>
<td>-------------</td>
<td>------</td>
</tr>
<tr>
<td>Osteoporosis: secondary prevention of fragility fractures (OST)</td>
<td>Patients aged 50 and 74 years, with a fragility fracture, in whom osteoporosis is confirmed on DXA scan, who are currently treated with an appropriate bone sparing agent.</td>
<td>OST001</td>
</tr>
<tr>
<td>Palliative care</td>
<td>Patients recorded as receiving palliative care.</td>
<td>PC001, 002W</td>
</tr>
<tr>
<td>Rheumatoid Arthritis</td>
<td>Patients aged 16 or over with rheumatoid arthritis</td>
<td>RA001, 002</td>
</tr>
<tr>
<td>Smoking (patients with chronic conditions)</td>
<td>Patients with any or any combination of the following conditions: CHD, stroke or TIA, hypertension, diabetes, COPD, CKD, asthma, and/or learning difficulties whose notes record smoking status in the preceding 15 months</td>
<td>SMOK002, 005</td>
</tr>
<tr>
<td>Smoking status register (patients aged 15 or over with recorded smoking status)</td>
<td>Patients aged 15 and over whose notes recorded smoking status in the preceding 27 months.</td>
<td>SMOK004</td>
</tr>
<tr>
<td>Stroke and Transient Ischaemic Attack (TIA)</td>
<td>Patients diagnosed with stroke and/or TIA ever.</td>
<td>STIA001</td>
</tr>
</tbody>
</table>

Further information about QOF indicators is published each year by NHS Wales.

**Patient exceptions: not included in 2018-19 statistical release**

Practices may exclude specific patients from data collected to calculate QOF achievement scores. For example, patients with specific diseases can be excluded from individual QOF indicators if a patient is unsuitable for treatment, is newly registered with the practice, is newly diagnosed with a condition, or in the event of informed dissent.

The GMS Statement of Financial Entitlements (SFE)\(^1\) includes the following:

The following criteria have been agreed for exception reporting:

a) Patients who have been recorded as refusing to attend review who have been invited on at least three occasions during the financial year to which the achievement payments relate (except in the case of indicator CS002, where the patient should have been invited on at least three occasions during the period of time specified in the indicator during which achievement is to be measured (e.g. the preceding five years ending on 31 March in the financial year to which achievement payments relate).

b) Patients for whom it is not appropriate to review the chronic disease parameters due to particular circumstances, for example, a patient who has a terminal illness or is extremely frail.

c) Patients newly diagnosed or who have recently registered with the contractor who should have measurements made within three months and delivery of clinical standards within nine months e.g. blood pressure or cholesterol measurements within target levels.

d) Patients who are on maximum tolerated doses of medication whose levels remain sub-optimal.

---

\(^1\) GMS Statement of Financial Entitlements, Annex D Quality and Outcomes Framework Guidance
e) Patients for whom prescribing a medication is not clinically appropriate e.g. those who have an allergy, contra-indication or have experienced an adverse reaction.

f) Where a patient has not tolerated medication.

g) Where a patient does not agree to investigation or treatment (informed dissent) and this has been recorded in their patient record following a discussion with the patient.

h) Where the patient has a supervening condition which makes treatment of their condition inappropriate e.g. cholesterol reduction where the patient has liver disease.

i) Where an investigative service or secondary care service is unavailable.

In the case of exception reporting on criteria A and B these patients are removed from the denominator for all indicators in that disease area where the care had not been delivered. For example, a contractor with 100 patients on the coronary heart disease (CHD) disease register, of which four patients have been recalled for follow-up on three occasions but have not attended and one patient has become terminally ill with metastatic breast carcinoma during the year, the denominator for reporting would be 95. However, all 100 patients with CHD would be included in the Quality and Outcomes Framework guidance for GMS contract Wales 2015-16 calculation of APDF (practice prevalence). This would apply to all relevant indicators in the CHD set.

In addition, contractors may exception report patients from single indicators if they meet criteria in C to I, for example a patient who has heart failure (HF) due to left ventricular systolic dysfunction (LVSD) but who is intolerant of angiotensin converting enzyme inhibitors (ACE-inhibitors/ACE-I) and angiotensin receptor blocker (ARB) could be exception reported from HF003. This would result in the patient being removed from the denominator for that indicator only.

Contractors should report the number of exceptions for each indicator set and individual indicator. Contractors will not be expected to report why individual patients were exception reported. However, contractors may be called on to explain why they have ‘excepted’ patients from an indicator and this can be identifiable in the patient record.

A small number of indicators were introduced in 2013-14 that required referral to a service that may not have been available in all areas of Wales, an example is HF005W (previously HF100W). Unfortunately no ‘service unavailable’ exception Read codes were available for these indicators at that point in time and advice agreed by WG and GPCW was circulated to LHBs and GPs on how to deal with these indicators in such circumstances. Such ‘service unavailable’ exception Read codes have subsequently been released and are available for use in General Practice.

**Note** that the number of exceptions and the sum of the denominators refer to patient records associated with the indicators not individual patients who may occur more than once.
Prevalence

Note that many patients may suffer from more than one of these conditions. However since patient level data is not required for QOF central payment purposes and is not stored on CM Web it is not possible to identify those who appear on more than one register.

Age specific diseases prevalence rates

For tables 1 and 3, the calculation of the denominator for those disease areas which do not apply to all ages, has been derived by:

- dividing the population of each LHB, of a specific age, by the total LHB population, using ONS population estimates; and
- applying the proportion calculated to the LHB practice list sizes.

Audit+ charts

The source of the age specific prevalence is a General Medical Practice based software utility called “Audit+”.

i. Audit+ is a centrally funded analysis tool which is available to GP practices in Wales. Audit+ is non-mandatory which enables a GP practice to choose whether or not to use this analysis tool.

ii. Audit+ is an analysis tool which is available to most GP practices in Wales. Audit+ runs on top of the Informatica Clinical Audit Platform (iCAP), a comprehensive software platform for building solutions to primary care problems that require automated general practice data extraction.

iii. Audit+ provides practices with a number of tools that allow them to manage their patient registers as defined in an audit specification. These tools allow the practices to browse patients and easily identify those that require attention, to graphically view any patient treatment and outcome targets that may have been set for the audit and to export patient list data for internal uses such as mail merges using a word processor or custom analysis in a spreadsheet. The extracted data is locally analysed at each practice and then the aggregated results of those analyses are sent to a central NHS Wales repository and presented in the web based system AuditWeb.

iv. Counts of patients on QOF disease registers by age groups have been obtained from the aggregated Audit Web system derived from Audit+.
Estimated diagnosis rate for people with dementia

The dementia estimates in section 4 of the release measure the number of people who have been diagnosed with dementia as a proportion of the number who are estimated to have the condition. They are calculated as follows:

- Estimates of the numbers of people with dementia in Wales have been made using prevalence rates by age (for people aged 65 or over) and sex published in a Lancet paper from the CFAS (Cognitive Function and Ageing Study) II study. The people recorded on the QOF Dementia disease register can be thought of as those who have been diagnosed, leaving the remainder as those who have the disease, but are undiagnosed.

- The UK age/sex prevalence rates were applied to mid year estimates for Wales to produce estimated counts of people with dementia. A small amendment (3 per cent reduction) has been made to account for the fact that the dementia register is for all ages. From Audit+ data it is estimated that around 3 per cent of people on the register are aged under 65 years old.

In the 2014-15 edition of this release, prevalence rates from the Dementia UK Report published by the Alzheimer’s Society in 2007 were used in this analysis but, as was mentioned in that release, the methodology was kept under review and was then revised to use CFAS II prevalence rates in the 2015-16 edition. In England, until April 2015, dementia diagnosis rates were also calculated using estimates of dementia prevalence reported in the 2007 Alzheimer’s Society ‘Dementia UK’ report. Following a consultation with other stakeholders, NHS England believed that the best scientific evidence of rates of dementia prevalence in England are those reported in 2013 by the Cognitive Function and Ageing Study II (CFAS II). CFAS II provides authoritative empirically derived real data from three populations in England and, as such, is UK evidence-based rather than the evidence-led Alzheimer’s Society 2007 Delphi consensus (a consensus agreement by experts based on a review of international studies).

NHS England publishes estimated diagnosis rates for dementia for England; together with FAQs and background and methodological notes.
Key Quality Information

- The Quality and Outcomes Framework (QOF) is a system of financial incentives. It is about rewarding contractors for good practice (and its associated workload) through participation in an annual quality improvement cycle.

- More information on the survey in relation to QOF is available from the [NHS Wales website: Quality and Outcomes Framework](https://www.wales.nhs.uk/quality-outcomes-framework/).

Data coverage

The published tables, and this statistical release, cover data for Wales relating to:

- QOF achievement in terms of points achieved and underlying achievement for Influenza and cluster network domain indicators;

- disease 'prevalence', that is, patients registered on individual active disease registers; and

- exceptions and exclusions, that is, patients who for reasons set out in the QOF rules are not included in the achievement calculations.

Available QOF achievement data for 2018-19 is presented for 412 general practices in Wales. This includes practices that had data automatically extracted by the CM Web system in June 2019 together with data adjustments for the year 2017-18 submitted between April and June 2019. The data published for 2018-19 (in spreadsheets and StatsWales) represents the data on which payment was made to GP practices. Where a practice elected to opt out of the 2018-19 QOF, the points shown are the higher of 2016-17 or 2017-18. These amendments are highlighted in the data.

The 2018-19 disease prevalence tables are based on prevalence recorded on CM Web. The data presented is raw (unadjusted) disease prevalence as recorded by the practices.
**Level of detail**

There are no patient-specific data within CM Web.

**Practice list sizes**

The 2018-19 QOF data use practice list sizes that have been derived from the practice clinical system as at 31 March 2019. These list sizes will be different from those that were supplied to CM Web from National Health Applications and Infrastructure Services (NHAIS), the national general practice payments system for the purposes of prevalence and list size adjustments in QOF payment calculations. List sizes will not agree with list size data published in other Statistical Releases.

This section provides a summary of information on this output against five dimensions of quality: Relevance, Accuracy, Timeliness and Punctuality, Accessibility and Clarity, and Comparability.

**Relevance**

The statistics are used both within and outside the Welsh Government to monitor health trends and as a baseline for further analysis of the underlying data. Some of the key users are:

- Ministers and the Members Research Service in the National Assembly for Wales
- health boards
- local authorities
- GP Practices
- Department for Health and Social Services in the Welsh Government
- other areas of the Welsh Government
- National Health Service and Public Health Wales
- General Medical Council and other professional organisations
- the research community
- students, academics and universities
- individual citizens and private companies

These statistics will be used in a variety of ways. Some examples of these are:

- advice to Ministers
- to inform debate in the National Assembly for Wales and beyond
- to contribute to the Quality and Outcomes Framework
- to make publicly available data on GP services in Wales

**Accuracy**

Statisticians within the Welsh Government review the data and query any anomalies with the NHS Wales Informatics Service before tables are published. The figures in this release reflect the final position as at the end of the 2018-19 financial year.
**Timeliness and Punctuality**

This release has met the previously announced date of publication.

**Accessibility and Clarity**

This statistical release is pre-announced and then published on the Statistics section of the Welsh Government website. It is accompanied by more detailed tables in Excel spreadsheets and on StatsWales, a free to use service that allows visitors to view, manipulate, create and download data.

**Comparability**

These published data will provide a potentially rich source of information on the provision of primary care services. However, it must be recognised that levels of QOF 'achievement' will be related to a variety of local circumstances, and should be interpreted in the context of those circumstances. An increasing divergence in GMS strategic priorities across the devolved administrations means that even more caution should be exercised when making comparisons. Since 2013 changes to the GMS contract for Wales have been negotiated annually by Welsh Government, NHS Wales, and the General Practitioners Committee Wales (GPC Wales) of the British Medical Association. The QOF relaxation in Wales in 2016-17 has also had an impact on available data. Users of these data should be particularly careful to undertake comparative analysis bearing these and the following points in mind:

i. The ranking of practices on the basis of QOF points achieved, either overall or with respect to areas within the QOF, may be inappropriate. QOF points do not reflect practice workload issues (for example, around list sizes and disease prevalence). Practice QOF payments include adjustments for such factors.

ii. The comparative analysis of practice or HB level QOF achievement may also be inappropriate without taking account of the underlying social and demographic characteristics of the populations concerned. The delivery of services will be related, for example, to population age/sex, ethnicity or deprivation characteristics that are not included in the QOF data collection processes.

iii. Information on QOF achievement, as represented by QOF points, should also be interpreted with respect to local circumstances around general practice infrastructure. In undertaking comparative or explanatory analysis, users of the data should be aware of any effect of the numbers of partners (including single handlers), local recruitment and staffing issues, issues around practice premises, and local IT issues.

iv. Similarly users of the data should be aware that different types of practice may serve different communities. Comparative analysis should therefore take account of local circumstances, such as numbers on practice lists of student populations, drug users, homeless populations, asylum seekers etc.

v. The information does not allow analysis of the extent to which service delivery improved during the year, and that it is possible that relatively low-scoring practices could actually have seen significant improvements. Any such analysis can only be undertaken in the light of local circumstances.

vi. Underlying all this is the fact that the QOF data reported upon is highly dependent on diagnosis and recording within general practices on their clinical information systems.

See the introductory notes.

Further information about these miscellaneous changes can be found in the General Medical Services Contract: 2018/19 guidance.
Changes in 2017-18:
In early 2018 Welsh Government and GPC Wales announced that the QOF element of the GP contract was to be relaxed until 31 March 2017. This meant that GPs were able to opt out of a substantial part of the framework for 2017-18.

The agreed changes to the GMS Contract for 2017-18 reflects the intention to reduce unnecessary bureaucracy and place greater reliance on cluster based peer review of clinical indicators deemed to be embedded in clinical practice. As part of the agreed changes to QOF, five indicators have been removed [CVD PP 001W; BP 001W; DEP 003W; HF 005W; COPD 008W] and the 40 points associated with these indicators have been transferred to the cluster network domain.

Also, statistics collected in each United Kingdom country may differ in terms of achievement, prevalence and exception statistics and the detailed guidance available from each country’s website should be consulted before using these statistics as comparative measures.

Changes in 2018-19:
The Health, Social Care and Sport Committee held an inquiry into Primary Care Clusters and published a report in October 2017. Oral evidence was presented to the committee by many organisations including GPC Wales, RCGP Wales, Local Medical Committees and Health Boards.

The demonstrating quality work stream is a key element of contract reform and the work stream is being co chaired by Welsh Government and RCGP. There are several strands of work underway which will help shape and inform thinking on quality measurement and improvement within the contract. The impact of QOF relaxation in 2016/17 and 2017/18 was a key discussion with in the work stream. The effectiveness of QOF indicators within both the clinical domain and cluster network domain, has in the past two years been restricted by “QOF relaxation” in January of both years. There was agreement that the 2018/19 QOF would need to take account of the experience of the past two years, where QOF relaxation has been agreed for the last 3 months of the financial year.

The outcome from the work stream was a recommendation to the contract oversight group (COG):

• To recommend to COG there should be minimal change to QOF for 2018/19, other than simplification of the cluster domain.

• To recommend to COG that the 2018/19 QOF should be structured to avoid the need for QOF relaxation.

The recommendation was agreed by COG and formed part of the 2018/19 GMS contract negotiations.

In summary for 2018/19, for the clinical domain active indicators and cluster domain indicator practices are paid on actual achievement at 31 March 2019, for the clinical domain inactive indicators practices are paid based on the achievement points used for payment in the 2017/18 financial year.
Well-being of Future Generations Act:
The Well-being of Future Generations Act 2015 is about improving the social, economic, environmental and cultural well-being of Wales. The Act puts in place seven well-being goals for Wales. These are for a more equal, prosperous, resilient, healthier and globally responsible Wales, with cohesive communities and a vibrant culture and thriving Welsh language. Under section (10)(1) of the Act, the Welsh Ministers must (a) publish indicators (“national indicators”) that must be applied for the purpose of measuring progress towards the achievement of the Well-being goals, and (b) lay a copy of the national indicators before the National Assembly. The 46 national indicators were laid in March 2016.

Information on the indicators, along with narratives for each of the well-being goals and associated technical information is available in the Well-being of Wales report.


The statistics included in this release could also provide supporting narrative to the national indicators and be used by public services boards in relation to their local well-being assessments and local well-being plans.

Further Information
Further information about QOF can be found on the NHS Wales GMS contract webpage.

QOF Publications in other UK countries
England - NHS Digital: Quality and Outcomes Framework (QOF) online database

ISD Scotland website: The final 2015-16 QOF publication was released on 11th October 2016. ISD will no longer be publishing the QOF after this date as the QOF is being dismantled, with all points being retired and funding transferred to practice core funding. QOF data will no longer be extracted for payment purposes. 2016-17 QOF data will continue to be extracted to support the peer led GP Cluster Continuous Quality Improvement process as part of the latest GMS contract agreement.

Department of Health Northern Ireland website.

Further details
The document is available at: https://gov.wales/general-medical-services-contract-quality-and-outcomes-framework

Next update
To be confirmed, publication may be replaced by Quality Assurance and Improvement Framework (QAIF) output.

We want your feedback
We welcome feedback on any aspect of these statistics, which can be provided by email to stats.healthinfo@gov.wales

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**Appendix 1 – Descriptions of the 2017-18 QOF indicators**

**Clinical Domain, Active QOF**

<table>
<thead>
<tr>
<th>Clinical Domain</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>Atrial Fibrillation (AF)</td>
<td>AF001: The contractor establishes and maintains a register of patients with atrial fibrillation.</td>
</tr>
<tr>
<td>Secondary Prevention of Coronary Heart Disease (CHD)</td>
<td>CHD001: The contractor establishes and maintains a register of patients with coronary heart disease.</td>
</tr>
<tr>
<td>Heart failure (HF)</td>
<td>HF001: The contractor establishes and maintains a register of patients with heart failure</td>
</tr>
<tr>
<td>Hypertension (HYP)</td>
<td>HYP001: The contractor establishes and maintains a register of patients with established hypertension</td>
</tr>
<tr>
<td>Stroke and transient ischaemic attack (STIA)</td>
<td>STIA001: The contractor establishes and maintains a register of patients with stroke or TIA</td>
</tr>
<tr>
<td>Diabetes mellitus (DM)</td>
<td>DM001: The contractor establishes and maintains a register of all patients aged 17 or over with diabetes mellitus, which specifies the type of diabetes where a diagnosis has been confirmed NICE 2011 menu ID: NM41</td>
</tr>
<tr>
<td>Asthma (AST)</td>
<td>AST001. The contractor establishes and maintains a register of patients with asthma, excluding patients with asthma who have been prescribed no asthma-related drugs in the preceding 12 months</td>
</tr>
<tr>
<td>Chronic obstructive pulmonary disease (COPD)</td>
<td>COPD001. The contractor establishes and maintains a register of patients with COPD</td>
</tr>
</tbody>
</table>
### Dementia (DEM)

DEM001. The contractor establishes and maintains a register of patients diagnosed with dementia.

### Mental health (MH)

MH001. The contractor establishes and maintains a register of patients with schizophrenia, bipolar affective disorder and other psychoses and other patients on lithium therapy.

### Cancer (CAN)

CAN001. The contractor establishes and maintains a register of all cancer patients defined as a ‘register of patients with a diagnosis of cancer excluding non-melanotic skin cancers diagnosed on or after 1 April 2003’.

### Epilepsy (EP)

EP001. The contractor establishes and maintains a register of patients aged 18 or over receiving drug treatment for epilepsy.

### Learning disability (LD)

LD001. The contractor establishes and maintains a register of patients with learning disabilities.

### Osteoporosis: secondary prevention of fragility fractures

OST001. The contractor establishes and maintains a register of patients:

1. Aged 50 or over and who have not attained the age of 75 with a record of a fragility fracture on or after 1 April 2012 and a diagnosis of osteoporosis confirmed on DXA scan, and

2. Aged 75 or over with a record of a fragility fracture on or after 1 April 2012

NICE 2011 menu ID: NM29
### Rheumatoid arthritis (RA)

| RA001 | The contractor establishes and maintains a register of patients aged 16 or over with rheumatoid arthritis NICE 2012 menu ID: NM55 |

### Palliative care (PC)

| PC001 | The contractor establishes and maintains a register of all patients in need of palliative care/support irrespective of age |

### Obesity (OB)

| OB001 | The contractor establishes and maintains a register of patients aged 16 or over with a BMI ≥30 in the preceding 15 months |

### Influenza (FLU)

| FLU001W | The percentage of the registered population aged 65 years of more who have had influenza immunisation in the preceding 1 August to 31 March |
| FLU002W | The percentage of patients aged under 65 years included in (any of) the registers for CHD, COPD, Diabetes or Stroke who have had influenza immunisation in the preceding 1 August to 31 March |

### Clinical Domain, Inactive QOF

#### Asthma (AST)

| AST003 | The percentage of patients with asthma, on the register, who have had an asthma review in the preceding 15 months that includes an assessment of asthma control using the 3 RCP questions NICE 2011 menu ID: NM23 |
| AST004 | The percentage of patients with asthma aged 14 or over and who have not attained the age of 20, on the register, in whom there is a record of smoking status in the preceding 15 months |

#### Atrial Fibrillation (AF)

| AF006 | The percentage of patients with atrial fibrillation in whom stroke risk has been assessed using the CHA2DS2-VASc score risk stratification scoring system in the preceding 3 years (excluding those patients with a previous CHADS2 or CHA2DS2-VASc score of 2 or more) |
| AF007 | In those patients with atrial fibrillation with a record of a CHA2DS2-VASc score of 2 or more, the percentage of patients who are currently treated with anticoagulation drug therapy |

#### Cancer (CAN)

| CAN003W | The percentage of patients with cancer, diagnosed within the preceding 15 months, who have a patient review recorded as occurring within 6 months of the contractor receiving confirmation of the diagnosis, or where clinically appropriate within 3 months. This patient review can be undertaken via a telephone consultation but with an offer of a face to face consultation if desired. |
### Cervical screening (CS)

<table>
<thead>
<tr>
<th>CS001. The contractor has a protocol that is in line with national guidance agreed with the LHB for the management of cervical screening, which includes staff training, management of patient call/recall, exception reporting and the regular monitoring of inadequate sample rates</th>
</tr>
</thead>
<tbody>
<tr>
<td>CS002. The percentage of women aged 25 or over and who have not attained the age of 65 whose notes record that a cervical screening test has been performed in the preceding 5 years</td>
</tr>
</tbody>
</table>

### Chronic obstructive pulmonary disease (COPD)

<table>
<thead>
<tr>
<th>COPD002. The percentage of patients with COPD (diagnosed on or after 1 April 2011) in whom the diagnosis has been confirmed by post bronchodilator spirometry between 3 months before and 12 months after entering on to the register</th>
</tr>
</thead>
<tbody>
<tr>
<td>COPD003. The percentage of patients with COPD who have had a review, undertaken by a healthcare professional, including an assessment of breathlessness using the Medical Research Council dyspnoea scale in the preceding 15 months</td>
</tr>
<tr>
<td>COPD005. The percentage of patients with COPD and Medical Research Council dyspnoea grade ≥3 at any time in the preceding 15 months, with a record of oxygen saturation value within the preceding 15 months</td>
</tr>
</tbody>
</table>

NICE 2012 menu ID: NM63

### Dementia (DEM)

| DEM002. The percentage of patients diagnosed with dementia whose care has been reviewed in a face-to-face review in the preceding 15 months |

### Diabetes mellitus (DM)

<table>
<thead>
<tr>
<th>DM002. The percentage of patients with diabetes, on the register, in whom the last blood pressure reading (measured in the preceding 15 months) is 150/90 mmHg or less</th>
</tr>
</thead>
<tbody>
<tr>
<td>DM003. The percentage of patients with diabetes, on the register, in whom the last blood pressure reading (measured in the preceding 15 months) is 140/80 mmHg or less</td>
</tr>
<tr>
<td>DM007. The percentage of patients with diabetes, on the register, in whom the last IFCC-HbA1c is 59 mmol/mol or less in the preceding 15 months</td>
</tr>
<tr>
<td>DM012 The percentage of patients with diabetes, on the register, with a record of a foot examination and risk classification;</td>
</tr>
</tbody>
</table>
1) low risk (normal sensation, palpable pulse),
2) increased risk (neuropathy or absent pulses),
3) high risk (neuropathy or absent pulses plus deformity or skinchanges in previous ulcer) or 4) ulcerated foot within the preceding 15 months

NICE 2010 menu ID: NM13

**DM014** The percentage of patients newly diagnoses with diabetes, on the register, in the preceding 1 April to 31 March who have a record of being referred to a structured education programme within 9 months after entry on to the diabetes register

NICE 2011 menu ID: NM27

**Epilepsy (EP)**

EP003W. The percentage of women with epilepsy aged 18 or over and who have not attained the age of 55 who are taking antiepileptic drugs who have a record of being given information and advice about pregnancy or conception, or contraception tailored to their pregnancy and contraceptive intentions recorded in the preceding 3 years

**Hypertension (HYP)**

HYP006. The percentage of patients with hypertension in whom the last blood pressure reading (measured in the preceding 12 months) is 150/90 mmHg or less

**Medicines management**

MED006W. The contractor meets the LHB prescribing advisor at least annually, has agreed up to three actions related to prescribing and subsequently provided evidence of change

MED007W. A medication review is recorded in the notes in the preceding 15 months for all patients being prescribed 4 or more repeat medicines Standard 80%

**Mental health (MH)**

MH002. The percentage of patients with schizophrenia, bipolar affective disorder and other psychoses who have a comprehensive care plan documented in the record, in the preceding 15 months, agreed between individuals, their family and/or carers as appropriate

MH007. The percentage of patients with schizophrenia, bipolar affective disorder and other psychoses who have a record of alcohol consumption in the preceding 15 months

NICE 2010 menu ID: NM15

MH009. The percentage of patients on lithium therapy with a record of serum creatinine and TSH in the preceding 9 months NICE 2010 menu ID: NM21

MH010. The percentage of patients on lithium therapy with a record of lithium levels in the therapeutic range in the preceding 4 months NICE 2010 menu ID: NM22

MH011W. The percentage of patients with schizophrenia, Bipolar affective disorder and other psychoses who have a record of blood pressure, BMI and alcohol consumption in the...
preceding 15 months and in addition for those aged 40 or over, a record of blood glucose or 
HbA1c in the preceding 15 months

Palliative care (PC)

PC002W. The contractor has regular (at least 2 monthly) multi-disciplinary case review 
meetings where all patients on the palliative care register are discussed

Rheumatoid arthritis (RA)

RA002. The percentage of patients with rheumatoid arthritis, on the register, who have had a face-to-face 
review in the preceding 15 months

NICE 2012 menu ID: NM58

Smoking (SMOK)

SMOK002. The percentage of patients with any or any combination of the following conditions: CHD, stroke 
or TIA, hypertension, diabetes, COPD, CKD, asthma, schizophrenia, bipolar affective disorder or other 
psychoses whose notes record smoking status in the preceding 15 months

NICE 2011 menu ID: NM38

SMOK004. The percentage of patients aged 15 or over who are recorded as current smokers who have a 
record of an offer of support and treatment within the preceding 27 Months

NICE 2011 menu ID: NM40

SMOK005. The percentage of patients with any or any combination of the following conditions: CHD, stroke 
or TIA, hypertension, diabetes, COPD, asthma, schizophrenia, bipolar affective disorder or other psychoses 
who are recorded as current smokers who have a record of an offer of support and treatment within the 
preceding 15 months

NICE 2011 menu ID: NM39

Appendix 2 - StatsWales tables views

Quality and Outcomes Framework (QOF) points by local health board and register

Patients on Quality and Outcomes Framework (QOF) disease registers by local health board