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Introduction

Status of the Technical Advice Note

1.1 This Technical Advice Note (TAN) should be read in conjunction with Planning Policy Wales (PPW) which sets out the land use planning policies of the Welsh Government, in particular Chapter 6: The Historic Environment. PPW, the TAN, and the Welsh Government’s Historic Environment Service (Cadw) best practice guides should be taken into account by local planning authorities in the preparation of their development plans. They may be material to decisions on individual planning permission, listed building, scheduled monument and conservation area consent applications and will be taken into account by the Welsh Ministers and Planning Inspectors in the determination of applications and appeals that come before them.

1.2 Useful reference documents, listed as footnotes, provide additional information which may be read in conjunction with this TAN. The TAN contains annexes that deal specifically with the designation and consent procedures associated with scheduled monuments, listed buildings and the registers of historic areas.

1.3 Welsh Office Circulars 60/96 Planning and the Historic Environment: Archaeology; 61/96 Planning and the Historic Environment: Historic Buildings and Conservation Areas; and 1/98 Planning and the Historic Environment: Directions by the Secretary of State for Wales have been superseded by this TAN and are hereby cancelled.

Purpose of the Technical Advice Note

1.4 The purpose of this TAN is to provide guidance on how the planning system considers the historic environment during development plan preparation and decision making on planning applications. This TAN provides specific guidance on how the following aspects of the historic environment should be considered:

- World Heritage Sites
- Archaeological remains
- Listed buildings
- Conservation areas
- Historic parks, gardens and landscapes
The Historic Environment, Sustainable Development and Climate Change

1.5 The Welsh Ministers have wide-ranging powers to protect and support the historic environment in Wales,¹ and have a duty to make appropriate arrangements to promote sustainable development.²

1.6 The Well-being of Future Generations (Wales) Act 2015 places duties on public bodies requiring them to act in accordance with the ‘sustainable development principle’ (i.e. requiring the body to act in a manner which seeks to ensure that the needs of the present are met without compromising the ability of future generations to meet their own needs).³ That Act also establishes well-being goals which include achieving ‘a Wales of vibrant culture and Welsh language’, described as ‘a society that promotes and protects culture, heritage and the Welsh language’.⁴ A properly protected, conserved and enhanced historic environment can improve the quality of life and well-being for everyone.

1.7 In policy terms, the historic environment is defined as⁵:

“All aspects of the environment resulting from the interaction between people and places through time, including all surviving physical remains of past human activity, whether visible, buried or submerged, and deliberately planted or managed.”

A historic asset is:

“An identifiable component of the historic environment. It may consist or be a combination of an archaeological site, a historic building or area, historic park and garden or a parcel of historic landscape. Nationally important historic assets will normally be designated.”

Taken together and set within their cultural context, historic assets contribute to the character and sense of place of different parts of Wales.

1.8 Changes in the historic environment are inevitable. This can be the result of decay caused by natural processes, damage caused by wear and

¹ Government of Wales Act 2006, section 61 and other legislative provisions discussed elsewhere in this document.
⁵ Cadw, Conservation Principles for the sustainable management of the historic environment in Wales 2011, definitions p. 36.
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tear of use, and the need to respond to social, cultural, economic and technological changes.\(^6\)

1.9 The multiple impacts of climate change on historic assets are a particular challenge. Some of these will be outside the planning process such as sea-level rise, flooding, changes in vegetation and agricultural land use. However, measures that need to be taken in response to the impact of climate change, such as sea and flood defences, and renewable energy projects can fall under the planning system and need to be assessed for their impacts on the historic environment.\(^7\) Ensuring the optimum use of resources when managing historic assets may in turn help to mitigate these multiple impacts.

Conservation Principles

1.10 The *Conservation Principles for the sustainable management of the historic environment in Wales* (Conservation Principles) were published in 2011 and provide the basis upon which Cadw discharges certain statutory duties on behalf of the Welsh Ministers. Conservation Principles should be used by others to assess the potential impacts of a development proposal on any historic asset/assets and to assist in decision making where the historic environment is affected by the planning process.

1.11 There are six principles.

1. Historic assets will be managed to sustain their values.
2. Understanding the significance of historic assets is vital.
3. The historic environment is a shared resource.
4. Everyone will be able to participate in sustaining the historic environment.
5. Decisions about change must be reasonable, transparent and consistent.
6. Documenting and learning from decisions is essential.

1.12 Applicants and other organisations are strongly encouraged to make use of the Conservation Principles when considering development proposals and other works to historic assets. It is important for those responsible to understand the heritage values and assess the significance of the historic assets that will be affected. There are four heritage values which need to be understood before the significance of the asset can be assessed.

- Evidential value
- Historical value

\(^6\) Cadw, *Conservation Principles for the sustainable management of the historic environment in Wales* 2011, para 1.1.

\(^7\) Historic Environment Group, *Climate change and the historic environment of Wales: A summary of potential impacts*, National Trust 2013.
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- Aesthetic value
- Communal value

An understanding of these values forms the basis of a statement of significance prepared as part of a heritage impact assessment.8

1.13 The final part of Conservation Principles is entitled ‘Conservation Principles in Action’. This identifies how to consider different levels of intervention at a particular site or historic asset. The advice ranges from routine management and maintenance, through repair, periodic renewal, where archaeological intervention is needed, restoration to new work and alteration. Consideration is also given to how the historic environment can be accommodated alongside other interests and where enabling development may be acceptable to secure the future of an important historic asset. This part of the document provides tests against which different aspects of a development proposal can be judged.

1.14 Conservation Principles recognises that the whole of the historic environment is a finite and shared resource. Every place has its own history, which has shaped its character and leaves tangible traces in its present form and fabric. This historic character makes each place unique and gives it a distinctive identity. Historic character should be taken into account in the management of change in order to sustain local distinctiveness and a sense of place. The use of an objective, structured approach to identify the elements of historic character and creating an evidence base is vital.9

Heritage Impact Assessment

1.15 Heritage impact assessment is a structured process that enables the significance of a designated asset to be taken into account in developing proposals for change.10 Heritage impact assessments should be proportionate both to the significance of the historic asset and to the degree of change proposed, and should include sufficient information to enable both the significance of the asset and the impact of change to be understood. The results of the heritage impact assessment should be summarised in a heritage impact statement which must form part of any listed building consent, conservation area consent and, when requested, scheduled monument consent applications.11

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8 See paragraph 1.15 for further information on heritage impact assessment
9 Cadw, Managing Historic Character
10 Cadw, Heritage Impact Assessment in Wales provides further guidance on the heritage impact assessment process
11 Subject to the introduction of regulations.
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Information on Historic Assets in Wales

1.16 Information about the designated historic assets scheduled monuments, listed buildings and protected wrecks areas on the Register of Historic Parks and Gardens and the Register of Historic Landscapes in Wales is available through the Cadw website.\(^\text{12}\)

1.17 Local planning authorities are responsible for designating conservation areas and they hold the definitive information on their boundaries. They may also develop local lists of historic assets which may be published.

1.18 Designated and registered historic assets form only a small part of the wider historic environment. The most accessible and comprehensive information on all known archaeological sites, historic buildings and other components of the historic landscape is to be found in the Historic Environment Records (HERs).

1.19 The Welsh Ministers must compile and keep up to date an HER for each local authority area in Wales\(^\text{13}\) to support evidence-based decision making relating to the historic environment. They are also required to make these HERs publicly accessible, and ensure that advice and assistance is available to those wishing to retrieve and understand the information that they contain. The Welsh Ministers, through Cadw, have produced guidance on the manner in which certain bodies may contribute to the compilation of Historic Environment Records and assist in keeping them up to date, and the use of Historic Environment Records in the exercise of the bodies’ functions\(^\text{14}\). This includes the role of the local planning authorities in exercising their planning functions and decision making in relation to the historic environment.

1.20 The Welsh Ministers discharge their HER duty through the four archaeological trusts in Wales, who have had regional HERs in place since the mid 1970s\(^\text{15}\).

1.21 The HERs are complemented by the national collection of information about the historic environment, the National Monuments Record for Wales, held by the Royal Commission on the Ancient and Historical Monuments of Wales (RCAHMW).\(^\text{16}\)

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\(^\text{13}\) Historic Environment (Wales) Act 2016, section 35

\(^\text{14}\) Cadw, *Historic Environment Records in Wales*

\(^\text{15}\) The web portal to this information is Archwilio ([http://www.archwilio.org.uk](http://www.archwilio.org.uk)).

\(^\text{16}\) The web portal to this information is Coflein
The Setting of Historic Assets

1.22 Chapter 6 of Planning Policy Wales identifies how local planning authorities must treat World Heritage Sites, scheduled monuments, unscheduled nationally important archaeological remains, listed buildings, conservation areas and sites included in the Register of Historic Parks and Gardens in Wales in their consideration of planning applications and producing development plans. This includes the impact of proposed developments on the settings of these historic assets.

1.23 Local planning authorities are required to consult the Welsh Ministers, through Cadw, on certain development proposals which affect scheduled monuments, registered historic parks, gardens and landscapes and World Heritage Sites and their settings. It is for the local planning authority to consider the effects of proposed developments on the settings of listed buildings, conservation areas, unscheduled monuments of national importance and other undesignated historic assets.

1.24 The setting of an historic asset includes the surroundings in which it’s understood, experienced, and appreciated both in the past and in the present. Its extent is not fixed and may change as the asset and its surroundings evolve. Elements of a setting may make a positive or negative contribution to the significance of an asset, may affect the ability to appreciate that significance or may be neutral.

1.25 It is for the applicant to provide the local planning authority with sufficient information to allow the assessment of their proposals in respect of scheduled monuments, listed buildings, conservation areas, registered historic parks and gardens, World Heritage Sites, or on other sites of national importance and their settings. These principles, however, are equally applicable to all historic assets, irrespective of their designation. For any development within the setting of a historic asset, some of the factors to consider and weigh in the assessment include:

- the prominence of the historic asset
- the expected lifespan of the proposed development
- the extent of tree cover and its likely longevity
- non-visual factors affecting the setting of the historic asset.

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17 Not all nationally important archaeological remains will be scheduled – see Planning Policy Wales, paragraph 6.4.6
19 This expands the definition provided in Conservation Principles for the sustainable management of the historic environment in Wales
20 Cadw, Setting of Historic Assets in Wales
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The local planning authority should be able to provide guidance to the applicant during pre-applications discussions on the amount of information required to support a proposal. This may include the providing of a Heritage Impact Statement if the proposal is likely to impact on a historic asset. How setting is to be addressed by the applicant should also be considered as part of any pre-application discussions.

1.26 Other factors which may affect the setting of a historic asset include; inter-visibility with other historic or natural features, tranquillity, noise or other potentially polluting development though it may have little visual impact.

1.27 When making this assessment, the proposed situation will be compared to the existing and not against some past scenario. There will be cases where a proposed development will enhance the setting of a historic asset and this will be treated as a benefit resulting from the development. Mitigation of damaging effects could be achieved through good design and in some cases screening or landscaping.

1.28 The local planning authority will need to make its own assessment of the impact on the setting of a historic asset, having considered the responses received from consultees as part of this process. A judgement has to be made by the consenting authority, on a case-by-case basis, over whether a proposed development may be damaging to the setting of the historic asset, or may enhance or have a neutral impact on the setting by the removal of existing inappropriate development or land use.

Nature Conservation and the Historic Environment

1.29 There are inextricable links between the natural and historic environments. The habitats which support Wales’ biodiversity have all been created to a greater or lesser extent by past human activity. It is the objective of the Welsh Government to conserve and improve the natural heritage of Wales as part of its commitment to sustainable development.21

1.30 The most common potential impacts when working on historic buildings are on roosting bats, breeding birds and flora associated with lime-mortared walls. Vegetation clearance or groundworks associated with works at an archaeological site or works associated with or repair to a historic building may affect protected species such as dormice, reptiles and amphibians or interfere with breeding birds.

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21 Planning Policy Wales, Chapter 5, paragraph 5.1.2.
1.31 Applicants have a duty to ensure that their proposed works do not lead them to commit a criminal offence as set out in the Conservation of Habitats and Species Regulations 2010. When assessing an application for planning permission, listed building, conservation area or scheduled monument consent, the competent authority must decide whether the applicant has given due regard to the impact of their proposals on nature conservation. The potential impact resulting from pre-determination archaeological evaluation also needs to be considered.

1.32 It is important to identify where there is a need for any ecological survey at an early stage and this issue should form part of any pre-application discussion. Should protected species be identified, then licences will need to be issued. These licences may include conditions which lead to modifications both in pre-application investigations and to the intended works. Where possible, these modifications to the design of works should be made before the submission of any consent application.

1.33 In the absence of the necessary level of ecological survey being undertaken before the submission of an application, the competent authority may choose not to validate the application.

2: Local Development Plans

Preparing the Local Development Plan

2.1 Chapter 6 of Planning Policy Wales sets out how the historic environment should be considered in the preparation of local development plans. This includes the regard that must be given to national policies on the historic environment and the need to include locally specific policies for the conservation and enhancement of historic assets if appropriate. National and local policies provide an important part of the framework for the consideration of individual proposals for development and help guide developers in preparing planning applications.

2.2 A Sustainability Appraisal (SA) is a mandatory requirement in the preparation of a local development plan and incorporates a Strategic Environmental Assessment (SEA). It must consider the historic environment

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22 Technical Advice Note 5 section 4.2.2.
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as part of its wider consideration of the environment of the plan area. The SA should use up-to-date information drawn from the Historic Environment Record\(^{26}\) (see 1.16 above).\(^{27}\) Cadw is a statutory consultee on the SEA.

2.3 The SEA must include targets and indicators for the period covered by the local development plan. There are a number of numerical indicators that can be adopted. For example:

- Number of records added to the Historic Environment Record resulting from the application of archaeological planning conditions.
- Number of listed buildings in the local planning authority’s area removed from the ‘at risk’ category on the All-Wales Listed Building Condition Survey through implemented consents for repair or beneficial re-use.
- Number of new conservation areas designated.
- Number of conservation area character appraisals undertaken.
- The production of Supplementary Planning Guidance relevant to the historic environment of the local planning authority’s area.
- The number of characterisation studies undertaken.

2.4 Supplementary Planning Guidance may be developed by a local planning authority to assist them in delivering policies in the local development plan.\(^{28}\) Specific guidance relating to the historic environment might include:

- World Heritage Sites
- Archaeologically Sensitive Areas — where it is probable that archaeological remains will be a material consideration in the determination of any planning applications (see section 4).
- The preservation of historic assets of special local interest or on a local list.
- The use of characterisation to inform regeneration or the enhancement of town centres and conservation areas.\(^{29}\)
- Design guidance for development briefs containing significant historic assets.\(^{30}\)

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\(^{26}\) Cadw, Managing Historic Environment Records in Wales
\(^{27}\) Planning and Compulsory Purchase Act 2004, section 61.
\(^{28}\) Planning Policy Wales section 6.4.4 and 6.4.6.
\(^{29}\) Cadw, Managing Historic Character
\(^{30}\) Technical Advice Note 12: Design section 5.6 The Historic Environment
3: World Heritage Sites

3.1 World Heritage Sites are inscribed under the UNESCO Convention Concerning the Protection of the World Cultural and Natural Heritage 1972 (normally referred to as the World Heritage Convention). The UK Government ratified the convention in 1984 and is the “State Party” for World Heritage Sites in the United Kingdom.

3.2 World Heritage Sites are places of Outstanding Universal Value. Outstanding Universal Value is defined as meaning ‘cultural and/or natural significance which is so exceptional as to transcend national boundaries and to be of common importance for present and future generations of all humanity’.\(^{31}\)

3.3 Some World Heritage Sites have a buffer zone defined around or attached to their boundaries. The buffer zone itself is not of Outstanding Universal Value but it should include the immediate setting of the World Heritage Site, important views and other areas or attributes that are functionally important as a support to the World Heritage Site and its protection.\(^{32}\)

3.4 Currently there are three World Heritage Sites in Wales: the Castles and Town Walls of Edward I in Gwynedd (inscribed 1986), the Blaenavon Industrial Landscape (inscribed 2000), and the Pontcysyllte Aqueduct and Canal (inscribed 2009).

Principles and Policies for the Protection of World Heritage Sites

3.5 The Welsh Government’s policy objectives towards World Heritage Sites are presented in Planning Policy Wales chapter 6.\(^{33}\)

3.6 Local planning authorities must protect the Outstanding Universal Value, integrity and authenticity of World Heritage Sites by carefully managing development within and adjacent to them. Each World Heritage Site must have a management plan which specifies how the Outstanding Universal Value should be preserved.\(^{34}\) Local planning authorities are required to consult the Welsh Ministers, through Cadw, on those developments likely to

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\(^{31}\) The Operational Guidelines for the Implementation of the World Heritage Convention, para 49.
\(^{32}\) UNESCO The Operational Guidelines for the Implementation of the World Heritage Convention, para 104
\(^{33}\) Planning Policy Wales, Chapter 6 paras 6.4.4 and 6.5.1–6.5.3.
\(^{34}\) Operation Guidelines, para 108 and Cadw, Managing Change in World Heritage Sites
have an impact on the Outstanding Universal Value of a World Heritage Site.  

3.7 The approach to the protection and management of World Heritage Sites is based on the statutory designation of elements of each site, the use of the planning system and the collaborative creation and implementation of World Heritage Site management plans.  

3.8 Local planning authorities must take account of the international and national policies for the protection of World Heritage Sites. Where a World Heritage Site designation exists within a local planning authority area, this should be reflected in the authority’s policies and strategies for economic development, regeneration and tourism. World Heritage Site policies must be taken into account during the determination of planning applications impacting on such designations. Significant weight should be given to the need to protect World Heritage Sites for the benefit of future generations as well as our own. The effective management of a World Heritage Site is therefore concerned with the identification and promotion of change that will conserve and enhance its Outstanding Universal Value, integrity and authenticity and with the modification or mitigation of proposed changes that might alter those values.  

3.9 Where necessary, local policies for the protection and sustainable use of a particular World Heritage Site should apply both to the site itself and, as appropriate, to its setting, including any buffer zone or equivalent.  

3.10 All three World Heritage Sites in Wales lie within more than one local planning authority, so cooperation between authorities is necessary. This could be achieved by the collaborative adoption of shared Supplementary Planning Guidance.  

World Heritage Site Management Plans  

3.11 Local planning authorities must follow UNESCO’s Operational Guidelines where each World Heritage Site must have an appropriate management plan which specifies how the Outstanding Universal Value of a

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36 Cadw, Managing Change in World Heritage Sites in Wales  
37 A model for such guidance is the Pontcysyllte Aqueduct and Canal Supplementary Planning Document adopted in 2012, revised 2013: [http://www.denbighdp.co.uk/Webfiles/SPG/spg26.pdf](http://www.denbighdp.co.uk/Webfiles/SPG/spg26.pdf)
property is to be preserved.\textsuperscript{38}

3.12 The management plan should establish short-, medium- and long-term objectives to protect, conserve and present the World Heritage Site. An integrated approach to planning and management is essential to guide the evolution of properties over time, whilst retaining all aspects of their Outstanding Universal Value.

Development Proposals within World Heritage Sites

3.13 A World Heritage Site is considered a ‘sensitive area’ under the \textit{Environmental Impact Assessment Regulations}.\textsuperscript{39} Schedule 3 (2) (viii) of these Regulations identifies ‘landscapes of historical, cultural or archaeological significance’ as one of the criteria for screening Schedule 2 developments. World Heritage Sites are such landscapes. Local planning authorities will take this into consideration when asked to give a screening opinion on whether a proposed development falls within Schedule 2 and therefore requires an Environmental Impact Assessment. When an Environmental Impact Assessment is required, a heritage impact assessment could form part of this wider study.

3.14 In all cases that are likely to have a significant impact on the Outstanding Universal Value, integrity and authenticity of a World Heritage Site and its setting, it is best practice to carry out pre-application discussions with the local planning authority to ensure that the implications of the proposal are fully understood and reflected in supporting documents.\textsuperscript{40} Certain developments within a World Heritage Site, including the provision of one or more dwelling houses or the provision of a building or buildings where the floor space created by the development is 100 square metres or more, will require a design and access statement.\textsuperscript{41} The Welsh Ministers must be consulted, through Cadw, on planning applications that are likely to have an impact on the Outstanding Universal Value of a World Heritage Site.\textsuperscript{42}

3.15 Where a buffer zone exists it defines the immediate setting of a World Heritage Site. There will be development proposals of a size, height or prominence which could also adversely affect the setting of a World Heritage Site.


\textsuperscript{39} Town and Country Planning (Environmental Impact Assessment) (Wales) Regulations 2016 (S.I. 2016/58) (W. 28)

\textsuperscript{40} Cadw, Heritage Impact Assessment in Wales

\textsuperscript{41} The Town and Country Planning (Development Management Procedure) (Wales) (Amendment) Order 2016, paragraph 9

\textsuperscript{42} The Town and Country Planning (Development management Procedure) (Wales) (Amendment) Order 2016
Site and lie outside any buffer zone. Consideration of how to assess impacts upon the setting of a historic asset, such as a World Heritage Site, is provided in paragraphs 1.21–1.27 of this Technical Advice Note.

4: Archaeological Remains

The Importance of Archaeological Remains and their Records

4.1 Archaeological remains are a finite and non-renewable resource. In many cases they are highly fragile and vulnerable to damage and destruction. Produced by human activity over thousands of years, they are the only evidence of our prehistoric past and complement historic records from the last 2,000 years. Archaeological remains include evidence buried below the ground and the surviving fabric of historic buildings and other structures. Their importance, as evidence of the past development of our civilisation and as part of Wales’ identity, is not necessarily related to their size, visibility or popularity. Where it is agreed appropriate to reveal, disturb or destroy archaeological remains, care must be taken to ensure that the opportunities to record archaeological evidence are taken and that archaeological remains are not needlessly destroyed. However, the ability to record such evidence should not be a factor in deciding whether such loss should be permitted.

Archaeological Remains and the Development Management Process

4.2 Potential conflicts between development proposals and the preservation of significant archaeological remains can often be avoided through pre-application discussions with the local planning authority, their archaeological advisors, and, in cases where scheduled monuments may be affected, Cadw. Where there is a possibility that archaeological remains may be present, applicants are encouraged to make an enquiry with the body with responsibility for the relevant HER and seek advice from the local planning authority’s archaeological advisor at an early stage in considering their development proposal. This will help determine if the land contains or is close to known archaeological remains. Certain major developments require pre-application consultation with the local planning authority and, where specialist advice is required, the Welsh Ministers through Cadw. 43

4.3 When considering development proposals that affect scheduled monuments or other nationally important archaeological remains, there should be a presumption in favour of their physical preservation in situ, i.e., a presumption against proposals which would involve significant alteration or

cause damage, or would have a significant impact on the setting of remains (see Annex A). Where a local planning authority is aware of a real and specific threat to a known archaeological site as a result of the potential exercise of permitted development rights, they may wish to consider the use of their powers to withdraw those rights and require planning permission be obtained before the development can proceed.  

4.4 Where archaeological remains are known to exist the local planning authority should ask an applicant to undertake a desk-based archaeological assessment. If there is high potential for the survival of significant archaeological remains and, where it is appropriate, the local planning authority should ask for an archaeological evaluation. These should be done by a qualified and competent expert to the appropriate standard. The reports of these investigations will form part of the planning application. Applicants should show they have modified their development proposals to minimise any negative impact on the identified archaeological remains, and how they intend to mitigate or offset any remaining negative impacts.

4.5 The need for a desk-based assessment, and field evaluation where appropriate, should be discussed with the local planning authority prior to submission of a planning application, and where required the results of these studies should be submitted as part of the planning application. Failure to provide sufficient archaeological information of the appropriate standard may be a valid reason for the local planning authority to refuse planning permission.

4.6 When considering planning applications that affect known or potential archaeological remains, the local planning authority should consult with their archaeological advisor, about the acceptability of the impact of the development on archaeological remains, and/or the adequacy of the mitigation or offsetting what has been proposed. These two factors are material considerations in determining the planning application. Where a planning application directly affects a scheduled monument then the local planning authority is required to consult the Welsh Ministers through Cadw.

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44 The Town and Country Planning (General Permitted Development) Order 1995 (S.I. 1995/418), Article 4
45 The Welsh Ministers endorses the use by Welsh local planning authorities of the Chartered Institute for Archaeologists’ standards and guidance for desk-based assessment and field evaluation: http://www.archaeologists.net/codes/ifa
46 Chartered Institute for Archaeologists’ standards and guidance for archaeological advice by historic environment services: http://www.archaeologists.net/codes/ifa and Welsh Archaeological Trusts’ Curators Code of Practice (http://www.ggat.org.uk/archplan/curators)
4.7 The extent to which archaeological remains can or should be preserved will depend upon a number of factors. The case for the preservation of archaeological remains that are not of national importance must be assessed on the individual merits of each case. The local planning authority must take into account relevant policies and material considerations, including the significance of the remains, and weigh these against the need for the proposed development. In cases where there are issues of more than local importance, applications may be called in for determination by the Welsh Ministers. 48

**Preservation, or partial preservation of Archaeological Remains in situ**

4.8 Measures can be taken to minimise the impact of a development proposal on identified archaeological remains and allow their preservation, or partial preservation, *in situ*. For example, those aspects of a proposal that might cause damage, such as drains and other services, may be relocated. Similarly, foundations may be redesigned so as not to penetrate archaeological layers, or augered piling may prove more acceptable than large-scale deep excavation. In considering these solutions, a local planning authority must consider both direct and indirect impacts, such as changes to the hydrology and soil chemistry of a site, and be confident that the development can be removed at a future date without additional impacts upon the buried remains. Design can also minimise the effect upon setting, through detailed siting of the development, considering external appearances, the use of screening or by mitigating the visual impact of the proposals by removal of existing unsightly elements.

**Recording and Furthering Understanding of Archaeological Evidence**

4.9 Having considered all policies and other material considerations and the need for the development, the local planning authority may decide that the significance of the archaeological remains is not sufficient to justify their physical preservation. In these cases, the local planning authority must satisfy itself that the necessary arrangements for the excavation and recording of these archaeological remains are secured, and the results of this archaeological work are properly analysed and published 49 and that arrangements are made for the deposition of the resulting archive to the appropriate standards. This can be achieved by the local planning authority issuing a brief setting out the scope of the archaeological work that is required.

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48 *Planning Policy Wales*, section 3.12.
49 The Welsh Government endorses the use by Welsh local planning authorities of the Chartered Institute for Archaeologist’s standard and guidance for excavation. (http://www.archaeologists.net/codes/ifag).
4.10  Planning conditions will normally require the applicant to commission a qualified and competent expert\(^{50}\) to submit a written scheme of archaeological investigation which describes the different stages of the work and demonstrates that it has been fully resourced and given adequate time.\(^{51}\) In approving this written scheme of investigation (WSI), the local planning authority will identify who will act as the curator for the programme, how it will be monitored both during the excavation and post-excavation stages, and how each stage will be certified as fulfilling the planning conditions. The WSI may have to be amended between the outline and full planning applications.

4.11  Ideally, any programme of archaeological excavation and recording should precede the start of work on the development. In certain circumstances, the work could be integrated into the initial phase of groundworks and demolitions following agreement with the local planning authority and their archaeological advisors. The developer must give sufficient time for the archaeological contractor to complete the site work to the appropriate standard before allowing the main contractor free access to that part of the development. An archaeological watching brief may be agreed as part of the WSI or be the subject of a planning condition\(^{52}\) that would require such a brief to be carried out while the development is underway.\(^{53}\)

4.12  Failure to comply with archaeological planning conditions can be subject to enforcement in the same way as any other breach of planning control.\(^{54}\)

**Unexpected Archaeological Discoveries**

4.13  Developers should have a contingency to deal with unexpected archaeological discoveries and be able to call on archaeological advice when needed. Any issues should be resolved through discussion between the developer, the local planning authority and their archaeological advisors.

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\(^{50}\) The Welsh Ministers endorses the use by Welsh local planning authorities of the Chartered Institute for Archaeologists’ standards and guidance for desk-based assessment and field evaluation: [http://www.archaeologists.net/codes/ifa](http://www.archaeologists.net/codes/ifa)


\(^{52}\) See Circular 16/2014 Model Conditions 22 and 23 for examples of conditions on archaeological watching briefs.

\(^{53}\) The Welsh Government endorses the use by Welsh local planning authorities of the Chartered Institute for Archaeologist’s standard and guidance for an archaeological watching brief: [http://archaeologists.net/sites/default/files/CIfAS&GWatchingbrief_2.pdf](http://archaeologists.net/sites/default/files/CIfAS&GWatchingbrief_2.pdf)

\(^{54}\) Planning Policy Wales section 3.8.
Technical Advice Note 24: The Historic Environment

4.14 Where unexpected archaeological discoveries are considered to be of national importance, the Welsh Ministers have the power to schedule the site (see Annex A). In the event of scheduling, the developer must seek separate scheduled monument consent before work can continue. It is also open to the local planning authority and the Welsh Ministers to revoke or modify a planning permission under these circumstances, in which case there is a provision for the compensation of the developer for loss of value and expenditure incurred.  

5: Listed Buildings

Listed Building Designation

5.1 Listed buildings are nationally important assets which represent a unique source of information about the past and make a valuable contribution to the quality and character of Welsh landscapes and townscapes. Buildings are listed when they are considered to be of special architectural or historic interest.

5.2 The Welsh Ministers have a statutory duty to maintain a list of any buildings which, in their opinion, meet the published criteria as being of special architectural and historic interest (see Annex B). Buildings are added to the statutory lists either as a result of systematic survey of particular areas or building types, or following requests from local authorities, amenity societies, other bodies, or individuals, relating to particular buildings ('spot-listing'). In all cases, the decision to list a building must be based on the special architectural or historic interest of the building.

5.3 Requests for spot listing should draw attention to any new evidence which may not have been available previously, or otherwise explain why the building's special interest may have been overlooked. New evidence may sometimes justify reconsideration of a previous decision not to list, but earlier decisions are unlikely to be reviewed unless such evidence is provided. Although all requests for spot-listing are considered, it is preferable for buildings to be assessed before planning permission has been granted for redevelopment. Proposals for spot listing made when buildings are under imminent threat of alteration or demolition, can often mean delay, sometimes with serious practical and financial consequences for the developer.

5.4 Requests to de-list buildings can also be made, but will only be considered in the light of new evidence relating to the special architectural or

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55 Town and Country Planning Act 1990, sections 97(1), 100(1) and 107.
Technical Advice Note 24: The Historic Environment

historic interest of the building. If the objection to listing is (for instance) related to a building’s condition and the cost of repairing or maintaining it, or to plans for redevelopment, the listed building consent procedure provides the appropriate framework for considering these issues. Applications for de-listing will not normally be considered if the building is the subject of an application for listed building consent, or an appeal against refusal of consent, or if action by a local planning authority has commenced because of unauthorised work or neglect.

5.5 If the Welsh Ministers propose to include a building on the list or remove one from the list they must consult the owner and occupier of the building. Interim protection applies from the beginning of the consultation period. All the provisions of the Planning (Listed Buildings and Conservation Areas) Act 1990 (other than provisions relating to compulsory acquisition of listed buildings) have effect as if the building were a listed building.

5.6 Local planning authorities have the power to serve building preservation notices where they consider buildings are of special architectural or historic interest, and are in danger of demolition or alteration in such a way as to affect their special character. A building preservation notice applies all the provisions of the 1990 Act (except Sections 47-51 and Section 59 which relate to listed buildings in need of repair and damage to such buildings). It takes effect immediately it is served. A copy of the notice together with a location plan and photographs should be sent to Cadw as soon as the notice has been served so that the building can be considered for inclusion on the statutory list. The notice remains in force for up to six months to allow this consideration to be made. If a notice is not upheld by listing, a local authority may become liable to pay compensation for any loss or damage resulting from the service of the notice. If the Welsh Ministers are minded to list a building they must consult with the owners and occupiers. Interim protection supersedes the building preservation notice and liability for compensation should the building not be listed transfers to the Welsh Ministers.

5.7 The lists include a description of each building which is principally to aid identification and has no statutory force. List descriptions draw attention to those features of the building which led to listing, and explain why the building is of special interest, but are not intended to provide a comprehensive record of all the features of importance.

5.8 Any person may apply in writing at any time to the Welsh Ministers (through Cadw) for a Certificate of Immunity (CoI) against the listing of a

57 Planning (Listed Buildings and Conservation Areas) Act 1990, sections 2A and 2B, section
58 Section 47-51 and 59 of the Planning (Listed Buildings and Conservation Areas) Act 1990
59 Planning (Listed Buildings and Conservation Areas) Act 1990, Sections 7 and 8
particular building/s for a period of five years. A CoI does not remove the requirement to apply for conservation area consent (see paras 6.12–6.14 below) for demolition of such a building in a designated area. If a CoI is issued, Cadw will notify the local planning authority.

**Listed Building Consent**

5.9 The Planning (Listed Buildings and Conservation Areas) Act 1990 requires any works of demolition, alteration and/or extension which would affect the character of a listed building to be authorised through the process of listed building consent.\(^{60}\) It is a criminal offence to carry out such works without consent, which should be sought from the local planning authority. When considering any applications for listed building consent, the local planning authority or the Welsh Ministers must have special regard to the desirability of preserving the building or its setting or any features of special architectural or historic interest which it possesses.\(^{61}\) There will be repairs and minor alterations which may, in the opinion of the local planning authority, not affect the character and special interest of a listed building and can proceed without listed building consent with their written agreement. The local planning authority may refuse to consider a listed building consent application where it is similar to an application made within the previous two years and the local planning authority considers that there has been no significant change in any material consideration since the application was refused or the application is made while a similar application is under consideration by the Welsh Ministers.\(^{62}\)

5.10 The controls that apply to a listed building also apply to any fixtures to that building and any other structures that lie within its curtilage.\(^{63}\) Fixtures may include, for example, statuary, fireplaces and machinery, and curtilage structures may include boundary and garden walls, gates and outhouses. The exact definition of what constitutes a fixture and curtilage may have to be treated on a case-by-case basis and has been the subject of case law.\(^{64}\)

5.11 There are some buildings which are both listed and scheduled. In these cases, its designation as a scheduled monument takes precedence and any consent application for works will be determined by the Welsh Ministers (through Cadw) (see Annex A).\(^{65}\)

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\(^{60}\) Outlined in detail in Annex B.

\(^{61}\) Planning (Listed Buildings and Conservation Areas) Act 1990, section 16(2).

\(^{62}\) Planning (Listed Buildings and Conservation Areas) Act 1990, sections 81A and 81B (to be commenced).

\(^{63}\) Planning (Listed Buildings and Conservation Areas) Act 1990, section 1(5).


5.12 Applicants for listed building consent must be able to justify their proposals in a heritage impact statement. They will need to show why the works submitted were chosen and that they are desirable and necessary. They must provide the local planning authority with full information to enable them to assess the likely impact of their proposals on the special architectural or historic interest of the building and its setting.

5.13 When determining a listed building consent application, the local planning authority should consider the following issues:

- The importance and grade of the building and its intrinsic architectural or historic interest.
- The physical features of the building which justifies its listing and contributes to its significance, including those, such as the interior, which may have come to light after the building’s inclusion on the list.
- The impacts of the proposed works on the significance of the building.
- The building’s setting and its contribution to the local scene.
- The extent to which the proposed works bring substantial community benefits by contributing to the area’s economy or the enhancement of its local environment.

The listing grade is a material consideration, but is not in itself a reliable guide to the sensitivity of a building to alteration or extension. For example, many grade II buildings are humble, once common building types listed precisely because they are relatively unaltered examples of their kind and their special interest can be damaged by inappropriate alteration or extension.

5.14 Many listed buildings can sustain a degree of sensitive alteration and extension to accommodate continuing or new uses. Past changes reflect the history of use and ownership that are often aspects of the special interest of the listed building. When applicants and the local planning authority assess the heritage values and significance of a listed building, which is the subject of a consent application, they must consider the sensitivity of that building to the proposed changes. The use of specialist expertise by the applicant and the local planning authority can allow for a more imaginative approach to considering the alteration, extension and re-use of a listed building whilst still retaining its special interest.

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66 See Cadw, *Guidance on Heritage Impact Assessment in Wales*
67 Cadw, *Managing Change to Listed Buildings in Wales*
68 The Design Commission for Wales Design Review Service can provide advice to local planning authorities, public authorities developers, designers and others
Technical Advice Note 24: The Historic Environment

5.15 An application for the demolition of a listed building should be made in exceptional circumstances and only as an option of last resort. Consent for demolition should not be given simply because redevelopment is economically more attractive than the repair and re-use of a historic building. The following factors need to be considered:

- The condition of the building, the cost of repair and maintenance in relation to its importance and the value derived from its continued use. Where a building has been deliberately neglected, less weight will be given to these costs.
- The efforts made to keep the building in use including the offer of the unrestricted freehold of the building for sale at a fair market price.
- The merits of the alternative proposals for the site.
- Consideration needs to be given to why the listed buildings could not be retained in the new development, the level of community benefit that would derive from the proposal and whether the replacement buildings would meet the objectives of good design.  

Local planning authorities are required to give notice of applications for listed building consent and of the decisions taken by them on applications for the total or partial demolition of a listed building to a relevant amenity society.

5.16 The preservation of façades alone or the gutting and reconstruction of interiors is not usually considered an acceptable approach to the re-use of a listed building.

Notification to Welsh Ministers

5.17 Where a local planning authority proposes to grant listed building consent it must first notify the Welsh Ministers (through Cadw). This arrangement is intended to give the Welsh Ministers 28 days from receipt of the application in which to decide either to recommend that they want to call in the application for their own determination, or allow the local planning authority to determine the application itself. If a decision is not taken within 28 days, the timeframe may be extended. Alternatively, if the planning authority decides to refuse listed building consent, it can do so without notifying the Welsh Ministers.

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69 TAN 12: Design, section 5.6 The Historic Environment
70 Depending on the period and building type the local planning authority should consult the Ancient Monuments Society, the Council for British Archaeology, the Georgian Group, the Society for the Protection of Ancient Buildings, the Victorian Society and the Royal Commission on the Ancient and Historical Monuments of Wales
71 Planning (Listed Building and Conservation Areas) Act 1990, Section 13(1)
5.18 Applications considered for ‘call-in’ are those which raise issues of exceptional significance or controversy and, while this cannot be defined precisely, in practice it may involve those cases where, for example, the local planning authority has not had proper regard to national policy or disregarded it altogether.

5.19 The Welsh Ministers are able to direct that certain categories of application need not be notified to them by a local planning authority. The Welsh Ministers are keen for listed building consent decisions to be undertaken at a local level where the local planning authority has demonstrated its competence to do so. The Welsh Ministers have directed that Section 13 of the Planning (Listed Buildings and Conservation Areas) Act 1990 (ie the notification process) shall not apply to an application for listed building consent for the carrying out of work affecting the interior only of a Grade II (unstarred) listed building. Section 13 will still apply to any application which involves:

(i) the demolition of a listed building;
(ii) a grade I or II* listed building; and
(ii) works to the exterior of a grade II (unstarred) listed building.

5.20 The Welsh Ministers have also directed that, subject to the local planning authority obtaining prior written agreement from Cadw, Section 13 shall not apply to any application affecting the exterior of a Grade II (unstarred) building providing that the local planning authority obtains the advice of a specialist building conservation officer. If the local planning authority’s proposed decision to approve the application is not strictly in accordance with the advice provided by the specialist building conservation officer, or where, for whatever reason, the specialist building conservation officer will not be able to offer advice on that application, then Section 13 will continue to apply and the Welsh Ministers must be notified of the application.

Local Authority and Government Applications for Listed Building Consent and Conservation Area Consent

5.21 Local authorities must set examples of good practice to other owners in sustaining their listed buildings. Where a local authority is the applicant, all listed building and conservation area consent applications must be submitted to the relevant local planning authority. The local planning authority must undertake the consultation and notification requirements set out in regulation

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72 Planning (Listed Building and Conservation Areas) Act 1990, Section 15(1)
73 The details on where this direction can be found will be provided here on final publication of this TAN.
74 The details on where this direction can be found will be provided here on final publication of this TAN.
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9 of the Planning (Listed Building Buildings and Conservation Areas) (Wales) Regulations 2012 before referring the listed building or conservation area consent to the Welsh Ministers for determination.

5.22 Before applying, the local authority is encouraged to undertake pre-application discussions with the local planning authority’s conservation officer and a representative of Cadw. The local planning authority should provide a written assessment of the scheme to supplement the application, prior to the application being referred to the Welsh Ministers for determination.

Appeals

5.23 An applicant may appeal against a decision made by a local planning authority on a listed building consent application on the following grounds:75

- The refusal of the application by the local planning authority.
- One or more conditions attached to a listed building consent.
- The refusal of the local planning authority to vary or discharge the conditions attached to a listed building consent.
- The non-determination of a listed building consent application within eight weeks of its validation by the local planning authority.
- There is a provision for one special ground of appeal, namely that the building does not merit its listed status.76

An appeal is made to the Welsh Ministers on a form77 within six months of the applicant being notified of the local planning authority’s decision or from the end of the non-determination period.78

Purchase Notices

5.24 An applicant who has been refused listed building consent or, who considers that the conditions imposed make the land ‘incapable of reasonably beneficial use’, may serve a listed building purchase notice on the local planning authority requiring it to purchase an interest in that land.79

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76 Planning (Listed Buildings and Conservation Areas) Act 1990, section 21(3)
77 Form can be downloaded via the Planning Appeals section of the Welsh Government website.
Revocation of Listed Building Consent

5.25 There are circumstances where a local planning authority, or in certain cases, the Welsh Ministers, have the power to make an order revoking or modifying a listed building consent. In making such an order, they must have regard to any material considerations that are relevant. Compensation may be payable for abortive expenditure or other loss or damage caused by the order.

Building Recording

5.26 Works undertaken as the result of a listed building consent will often present the opportunity to make a record of features of the building that are to be removed or altered, or which may be revealed during the course of works. Works associated with a listed building consent may also reveal archaeological remains of earlier phases of the building or items that are associated with the building. When considering a listed building consent application, the local planning authority must decide whether a programme of building recording and/or archaeological excavation is required to record the features that might be revealed or destroyed. They may seek the advice of their archaeological advisors and impose a suitable condition (see 4.10). Applicants should contact the local planning authority when unexpected discoveries are made during the course of works. The Royal Commission on the Ancient and Historical Monuments of Wales must be given a opportunity to record a listed building proposed for demolition.

Ecclesiastical Exemption

5.27 There are exemptions from listed building and conservation area consent processes for certain denominations when making changes to their ecclesiastical buildings that remain as places of worship. The exemption only applies to those denominations and faiths which have set up internal systems of control that provide the same level of consultation and scrutiny as applied by local planning authorities when considering applications for the demolition, alteration and extension of listed buildings, and which have been approved by Welsh Ministers.

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80 Planning (Listed Buildings and Conservation Areas) Act 1990, sections 23-26
82 Planning (Listed Buildings and Conservation Areas) Act 1990, Section 8
83 The Church of England, the Church in Wales, the Roman Catholic Church, the Methodist Church, the Baptist Union of Great Britain and the Baptist Union of Wales, and the United Reformed Church.
5.28 Proposals should be submitted for approval by an independent body, which should obtain advice from people with expert knowledge of historic church buildings. The decision making process should provide for consultation with the local planning authority, national amenity societies and Cadw, allowing them 28 days to comment. Proposals should also be publicised. The decision-making body should take into account any representations made as well as the desirability of protecting historic buildings and features of special interest. There should also be an enforcement procedure for dealing with any breaches of the control system.

Other Controls

5.29 Local planning authorities should exercise their responsibilities for the safety of listed buildings under the building regulations and fire legislation with sympathy, when programmes of repair and conversion have been proposed. They should try to find a balance between meeting those objectives and retaining the character of the building. Conversely, local planning authorities should be sympathetic towards the alteration of listed buildings to help them meet the building regulations regarding the conservation of fuel and power. The same approach should be applied to considering proposals for access for the less able and meeting other requirements under the Equality Act 2010.

5.30 For general advice, the use of the British Standard 7913:2013 Guide to the conservation of historic buildings is recommended. Some general guidelines on the approach to the alteration of listed buildings are contained within Annex B of this document.

Enforcement

5.31 Enforcement has a key role to play in the protection of listed buildings. It is a criminal offence to execute, or cause to execute, work to a listed building which affects its character as a building of special architectural or historic interest without listed building consent. It is also an offence to fail to comply with any conditions of a listed building consent.

85 See Building Regulations 2010 – Conservation of Fuel and Power, Part L of Schedule 1 (as amended) as applied to historic and traditionally constructed buildings.
87 Published by the British Standards Institute in 2013.
6: Conservation Areas

Designation and Review of Conservation Areas

6.1 The Planning (Listed Buildings and Conservation Areas) Act 1990 requires local planning authorities to identify areas of special architectural or historic interest, the character or appearance of which it is desirable to preserve or enhance, and designate them as conservation areas. They should review their areas from time to time to consider whether further designations or revisions are called for.\(^{88}\) The Welsh Ministers also have powers to designate conservation areas after consultation with the local planning authority. This is a reserved power that is used in exceptional cases, for instance, where an area is of more than local interest.

6.2 Conservation area designation provides the basis for policies designed to preserve or enhance all the aspects of character or appearance that define an area’s special interest: this might include the pattern of settlement and the organisation of space and routes as well as the style and type of building, and the use of materials and detail. Green infrastructure\(^ {89}\) may also be important, but conservation area designation is not likely to be an appropriate means of protecting landscape features, except where they form an integral part of the historic built environment.

6.3 Local planning authorities must from time to time formulate and publish proposals for the preservation and enhancement of conservation areas. They must also give special attention to the desirability of preserving or enhancing conservation areas when taking planning decisions. Where a conservation area or part of an area no longer possesses the special interest which led to its designation, it may be cancelled.

Conservation Area Appraisal

6.4 A conservation area appraisal is the foundation for any proposals for preservation and enhancement. It provides a detailed picture of the special interest of an area and identifies opportunities and priorities for action. It should include an analysis of the policy and management needs of the area, including an assessment of the effectiveness of current planning controls, the need for supplementary protection and the identification of ways in which special character can be preserved or enhanced. Local development plan policies and development management decisions which relate to conservation areas will have a sounder basis and make more positive contributions to long-

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\(^{89}\) Cadw, Managing Conservation Areas in Wales
Technical Advice Note 24: The Historic Environment

term aims if the character of each conservation area is defined and policies for its preservation and enhancement set out in detail. Consideration can also be given to whether to introduce Article 4 Directions in these areas.  

6.5 By their very nature, conservation areas have multiple stakeholders and management arrangements should provide opportunities for participation and engagement in plan and decision making. Proposals for preservation and enhancement will be most effective when all council departments understand the significance of designation and work together. A development team approach that recognises the contribution of other departments, such as highways and housing, is strongly recommended.

Planning in Conservation Areas

6.6 The local development plan should make clear that development proposals will be judged against their effect on the character or appearance of a conservation area as identified in the appraisal and management document. Certain development proposals within a conservation area, including the provision of one or more dwelling houses, the provision of a building or buildings where the floor space created by the development is 100 square metres or more, will require a design and access statement.  

6.7 Whilst the scope for new development may be limited in many conservation areas, there will often be opportunities for enhancement. Proposals for enhancement should address the issues identified in the appraisal, and respond to the characteristics of the particular area.

6.8 Many conservation areas include the commercial centres of towns and villages. Generally, there will be an emphasis on controlled and positive management of change that encourages economic vibrancy and social and cultural vitality, and accords with the area’s special architectural and historic qualities. Many conservation areas include gap sites or buildings that make no positive contribution to, or indeed detract from the character or appearance of the area; their replacement should be a stimulus to imaginative, high-quality design and an opportunity to enhance the area.  

Advertisement Control

6.9 One of the purposes of the advertisement control system is to encourage the display of outdoor advertisements which make a positive

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90 The Town and Country Planning (General Permitted Development) Order 1995
91 The Town and Country Planning (Development Management Procedure) (Wales) (Amendment) Order 2016, paragraph 9
92 Technical Advice Note 12: Design, section 4 and sections 5.5 and 5.6.
Technical Advice Note 24: The Historic Environment

correction to the appearance of the environment. It is reasonable to expect that the local planning authority’s duty to pay special attention to the desirability of preserving or enhancing the character or appearance of a conservation area will result, in practice, in more exacting standards when the authority considers whether to grant consent for a proposed advertisement in such an area.

6.10 In conservation areas it is important for local planning authorities to be flexible in their use of their powers under the Town and Country Planning (Control of Advertisements) Regulations 1992, because many areas include retail and commercial premises ranging from small corner shops to thriving commercial centres. Outdoor advertising is essential to commercial activity and the success of local businesses will usually help owners and tenants of commercial premises to maintain buildings in good repair and attractive in appearance.93

Conservation Area Consent

6.11 Conservation area consent is required for the demolition of an unlisted building in a conservation area.94 However, there are some exceptions to the need for conservation area consent before demolition.95, 96 Applications for consent to demolish must be made to the local planning authority using the Application for Conservation Area Consent for Demolition in a Conservation Area form.97 The courts have ruled that demolition amounts to the removal of the whole of the building, not just part of it. However, the removal of an entire building except its façade would count as demolition, as would the removal of an entire front garden wall or other built boundary feature.

6.12 Applications for conservation area consent will require a heritage impact statement, which should incorporate issues relating to design and access alongside a broader consideration of the impact of the proposals on the heritage significance of the area.98

6.13 There should be a general presumption in favour of retaining buildings, which make a positive contribution to the character or appearance of a conservation area. Proposals to demolish such buildings should be assessed against the same broad criteria as proposals to demolish listed buildings (see 5.9). In cases where a building makes little or no contribution, the local

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93 Technical Advice Note 7: Outdoor Advertisement Control
95 Planning (Listed Buildings and Conservation Areas) Act 1990, section 75
96 Cadw, Managing Conservation Areas in Wales
98 Cadw, Managing Conservation Areas in Wales
planning authority will normally need to have full information about what is proposed for the site after demolition. Consent for demolition should not be given unless there are acceptable and detailed plans for redevelopment. The local planning authority is entitled to consider the broad principles of a proposed development, such as its scale, size and massing, when determining whether consent should be given for the demolition of an unlisted building in a conservation area.

6.14 It is appropriate to impose a condition on the grant of consent for demolition so that it does not take place until full planning permission has been granted and a contract for carrying out the development work has been made.99

Tree Preservation Orders in Conservation Areas

6.15 Local planning authorities have the power to protect trees and woodlands by making tree preservation orders. In addition, there is a special provision for trees in conservation areas which are not the subject of tree preservation orders.100 Anyone proposing to cut down, top or lop a tree in a conservation area is required to give the local planning authority six weeks notice, during which time the local planning authority can decide whether to protect that tree with a tree preservation order.101

6.16 When considering whether to extend protection to trees in conservation areas, local planning authorities should always take into account the visual, historic and amenity contribution of trees. In some instances, new or re-plantings may be desirable where this would be consistent with the character or appearance of the area.102

Enforcement

6.17 Enforcement has a key role to play in the protection of conservation areas and local planning authorities should consider a more proactive approach, including monitoring development activity and ensuring compliance with the terms of regulatory controls, rather than just investigating when a formal complaint is made. A positive and active approach to enforcement will help to reduce the number of contraventions and secure sustained improvements in environmental quality.103

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99 Planning (Listed Buildings and Conservation Areas) Act 1990 Section 17(3) as applied by Section 74(3).
100 Technical Advice Note 10: Tree Preservation Orders, section 13.
101 Technical Advice Note 10: Tree Preservation Orders, section 13.
102 Welsh Office Circular 64/78 and Technical Advice Note 10: Tree preservation orders.
103 Planning (Listed Buildings and Conservation Areas) Act 1990, Part 1, Chapter 4
7: Register of Historic Parks and Gardens and the Register of Historic Landscapes in Wales

The Register of Historic Parks and Gardens in Wales

7.1 The Welsh Ministers have a statutory duty to compile and maintain a register of historic parks and gardens in Wales.104

7.2 Chapter 6 of Planning Policy Wales identifies that local planning authorities should protect and conserve parks and gardens, and their settings, included in the register of historic parks and gardens in Wales.

7.3 Whilst inclusion in the register does not introduce any new consent regimes, registered historic parks and gardens, and their settings, may be protected through the planning system. Proposals affecting a registered park and garden will require the relevant consent and it is for the applicant to ensure that these consents are in place before work commences.

7.4 The Welsh Ministers must be consulted, through Cadw, on planning applications that are likely to affect the site of a registered historic park and garden or its setting which will be a material consideration in the determination of the proposal.

7.5 It is for the applicant to show that they understand the significance of the registered park and garden and the impact that the proposal is likely to have on it. It is for the local planning authority to consider if the impact is likely to be unacceptably damaging. It is recommended that applicants hold pre-application discussions with the local planning authority about the proposed works that require planning permission and Cadw can be invited to attend any meetings to give their advice to the applicant.105

The Register of Historic Landscapes in Wales

7.6 The Register of Historic Landscapes in Wales is non-statutory and advisory only (see Annex C5–C7). Its purpose is two-fold. Firstly, when major change might be contemplated, it is intended to inform policy making and decision making at a strategic level about the historic importance of the areas identified. Secondly, information on the register should be taken into account when determining planning applications where the development meets the criteria for Environmental Impact Assessment or, if on call in, in the opinion of Welsh Ministers, is of a sufficient scale to have more than a local impact on the historic landscape. The register should ensure that necessary change is accommodated without sacrificing the essential integrity and coherence of historic landscape areas. The wider purpose of the register is to promote the

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104 Ancient Monuments and Archaeological Areas Act 1979, section 41A (to be commenced)
105 Cadw, Managing Change to Registered Historic Parks and Gardens in Wales
value of the historic landscape as a resource for social and economic well-being.

7.7 Any developments that require Environmental Impact Assessment (EIA) and affect an area on the Register of Historic Landscapes will have to take the register entry into account in the production of the Environmental Statement. The regulations differentiate between Schedule 1 developments, which all require EIA, and Schedule 2 developments, which require EIA ‘if it is likely to have significant effects on the environment by virtue of factors such as its size, nature or location’. When screening Schedule 2 projects, the local planning authority must take account of the selection criteria in Schedule 3 of the Regulations Regulation (2)(c)(viii) of schedule 3 of the Regulations (headed “location of development”) identifies ‘landscapes of historical, cultural or archaeological significance’. In Wales, an area on the Register of Historic Landscapes should be considered to meet this criterion.

7.8 Best practice guidance has been developed to assist local planning authorities and the Planning Inspectorate in their consideration of planning proposals affecting areas on the Register of Historic Landscapes. Proposed developments within a registered historic landscape that require an Environmental Impact Assessment may require an appropriate assessment of the impacts as part of the Environmental Statement. The Welsh Ministers must be consulted, through Cadw, on such developments. They may draw upon the expertise of the curatorial sections of the Welsh archaeological trusts when preparing their response to such a consultation.

8: Historic Assets of Special Local Interest

8.1 Historic assets that the local planning authority may consider to be of special local interest are not accompanied by any additional consent processes over and above those required for planning permission. However, if such assets are to contribute successfully to the conservation or enhancement of local character their status needs to be clear in the

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development management process. Supplementary planning guidance may be a material consideration in planning decisions and can provide an effective tool to manage the development of such assets.

8.2 Local historic assets in conservation areas benefit from the general control over demolition that is afforded by the Planning (Listed Buildings and Conservation Areas) Act 1990.
9. Annexes

Annex A: Scheduled Monuments

Designating Historic Assets as Scheduled Monuments

A.1 The Welsh Ministers have a duty to compile and maintain a schedule of monuments; monuments on the schedule have statutory protection. The Historic Environment Records (HERs) of Wales contain over 175,000 records of historic assets. Those considered to be of national importance can be designated by the Welsh Ministers as scheduled monuments.\(^{110}\) There are over 4,000 scheduled monuments in Wales, the vast majority of which are in private ownership. Cadw maintains and presents to the public 130 of these monuments on behalf of the Welsh Government.\(^{111}\) Scheduling archaeological remains ensures that the case for preservation is fully considered when any proposals for development or other work which might adversely impact the monument are being considered (see 4.2–4.8). Not all nationally important archaeological sites are scheduled. Nationally important sites can be found by chance or as the result of systematic archaeological surveys and may be considered for scheduling by the Welsh Ministers.\(^{112}\)

A.2 The following criteria (which are not in any ranking order) are used for assessing the national importance of an ancient monument and considering whether scheduling is appropriate. The criteria should not, however, be regarded as definitive; rather they are indicators which contribute to a wider judgement based on the individual circumstances of a case.

a. **Period:** all types of monuments that characterise a category or period should be considered for preservation.

b. **Rarity:** there are some monument categories, which are so scarce in certain periods that all surviving examples, which still retain some archaeological potential, should be preserved. In general, however, a selection must be made which portrays the typical and commonplace as well as the rare. This process should take account of all aspects of the distribution of a particular class of monument, both in a national and a regional context.

c. **Documentation:** the significance of a monument may be enhanced by the existence of records of previous investigation or, in the case of

\(^{110}\) Ancient Monuments and Archaeological Areas Act 1979 Part 1, section 1. The Secretary of State’s responsibilities passed to the Welsh Ministers under the Government of Wales Act 2006, section 162 and paras 30 and 32 of Schedule 11.

\(^{111}\) Ancient Monuments and Archaeological Areas Act 1979, sections 12-16.

more recent monuments, by the supporting evidence of contemporary written records.

d. **Group Value:** the value of a single monument (such as a field system) may be greatly enhanced by its association with related contemporary monuments (such as a settlement and cemetery) or with monuments of different periods. In some cases, it is preferable to protect the complete group of monuments, including associated and adjacent land, rather than to protect isolated monuments within the group.

e. **Survival/Condition:** the survival of a monument’s archaeological potential both above and below ground is a particularly important consideration and should be assessed in relation to its present condition and surviving features.

f. **Fragility/Vulnerability:** highly important archaeological evidence from some field monuments can be destroyed by a single ploughing or unsympathetic treatment; vulnerable monuments of this nature would particularly benefit from the statutory protection which scheduling confers. There are also existing standing structures of particular form or complexity, whose value can be severely reduced by neglect or careless treatment, which are similarly well suited by scheduled monument protection, even if these structures are already listed historic buildings.

g. **Diversity:** some monuments may be selected for scheduling because they possess a combination of high-quality features; others because of a single important attribute.

h. **Potential:** on occasion, the nature of the evidence cannot be specified precisely but it may still be possible to document reasons anticipating its existence and importance, and therefore demonstrate the justification for scheduling. This is usually confined to sites rather than upstanding monuments.

*Scheduled Monument Consent*

A.3 Works that would directly affect a scheduled monument require the prior consent of the Welsh Ministers.  

113 This is in addition to any planning permission required for development. There are ten class consents in place that allow specified types of work at such sites (see paragraph A.8).

A.4 Applications for scheduled monument consent are made to the Welsh Ministers through Cadw.  

114 Applicants are encouraged to request a pre-

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113 Works that have the effect of demolishing, destroying, removing, repairing and altering, adding to, flooding or burying the monument all need prior scheduled monument consent.

application meeting on site to discuss their proposals. When submitting their applications they must show that they have understood and considered the significance of the monument when designing the programme of works, and include sufficient information and drawings to illustrate the direct effects on the significance of the site. This information forms the basis of the heritage impact assessment. Applications for scheduled monument consent will normally require the same level of information as that required for full planning consent.

A.5 The main purpose of scheduling is ensuring the preservation of ancient monuments and there is a presumption in favour of their physical preservation when considering an application for scheduled monument consent. This means that there is a presumption against proposals which would involve significant alteration or cause damage, or which would have a significant impact on the setting of remains. Applicants are expected to demonstrate that no practicable alternative route or location, avoiding the monument, exists and that the need to undertake the work outweighs the presumption in favour of the protection of the scheduled monument. Cadw will usually require an applicant to commission a qualified and competent expert to undertake a desk-based archaeological assessment and/or a field evaluation to the appropriate standard (see 4.4 above) before a determination is made. Any programme of archaeological excavation and recording required as mitigation will be established by conditions (see 4.9–4.12). Applicants will be offered the right of appeal against refusal of, or against conditions attached to, a scheduled monument consent.

A.6 The Welsh Ministers can enter into a management agreement with the occupier of a scheduled monument to carry out an agreed programme of maintenance and/or capital works to benefit or facilitate public enjoyment of the site. Management agreements for scheduled monuments are normally time limited and may attract grant aid. Certain works which are executed in accordance with such a management agreement will benefit from class consent (see paragraph A.8).

A.7 Where a scheduled monument is on Crown Land, the relevant Government department should follow a non-statutory procedure known as scheduled monument clearance when proposing works at such a site. The procedures for clearance will follow those for scheduled monument consent and Government departments are required to abide by any conditions attached to such a clearance.

115 Cadw, Heritage Impact Assessment
116 Ancient Monuments and Archaeological Areas Act 1979, section 17.
117 The Ancient Monument (Class Consents) Order 1994 S.I. 1994/1381)
Ancient Monuments Class Consents

A.8 Classes or descriptions of works for the execution of which, scheduled monument consent is granted by article 2 of the Ancient Monuments (Class Consents) Order 1994

<table>
<thead>
<tr>
<th>Class</th>
<th>Permitted works</th>
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</table>
| 1     | Agricultural, horticultural and forestry works of the same kind as those previously carried out lawfully in the same location and on the same spot within that location within the period of six years immediately preceding the date on which the works commence; but excluding works falling into one or more of the following categories—
|       | a) in the case of ploughed land, any works likely to disturb the soil of any part of that land below the depth at which ploughing of that part has previously been carried out lawfully; |
|       | b) in the case of land other than ploughed land, any works likely to disturb the soil below the depth of 300 millimeters; |
|       | c) sub-soiling, drainage works, the planting or uprooting of trees, hedges or shrubs, the stripping of top soil, tipping operations, or the commercial cutting and removal of turf; |
|       | d) the demolition, removal, extension, alteration or disturbance of any building, structure or work or of the remains thereof; |
|       | e) the erection of any building or structure; |
|       | f) in the case of works other than domestic gardening works, the laying of paths, hard-standings or foundations for buildings or the erection of fences or other barriers. |
| 2     | Works executed more than 10 meters below ground level by any licensed operator within the meaning of the Coal Industry Act 1994. |
| 3     | Works executed by the Canal & River Trust in Wales, in relation to land owned or occupied by them, being works of repair or maintenance, not involving a material alteration to a scheduled monument, which are essential for the purpose of ensuring the functioning of a canal. |
| 4     | Works for the repair or maintenance of machinery, being works which do not involve a material alteration to a scheduled monument |
| 5     | Works which are urgently necessary in the interests of safety or health provided that: |
|       | (a) the works are limited to the minimum measures immediately necessary; and |
|       | (b) notice in writing justifying in detail the need for the works is given to the Welsh Ministers as soon as reasonably practicable. |
6. Does not apply in Wales

7. Works of archaeological evaluation carried out by or on behalf of a person who has applied for consent under section 2 of the Act being works carried out:
   a) in order to supply the Welsh Ministers with information required by them for the determination of that application;
   b) under the supervision of a person approved for that purpose in writing by the Welsh Ministers; and
   c) in accordance with a written specification approved for that purpose by the Welsh Ministers.

8. Works for the maintenance or preservation of a scheduled monument or its amenities being works executed in accordance with the terms of a written agreement between the occupier of the monument and the Welsh Ministers under section 17\(^\text{118}\) of the Act.

9. Works for the preservation, maintenance or management of a scheduled monument being works executed in accordance with the terms of a written agreement under which the Welsh Ministers defray, or contribute towards, the cost of those works pursuant to their powers under section 24\(^\text{119}\) of the Act.

10. Works consisting of the placing of survey markers to a depth not exceeding 300 millimeters for the purpose of measured surveying of visible remains undertaken by the Royal Commission on the Ancient and Historical Monuments of Wales.

**Offences Relating to Scheduled Monuments**

A.9. It is a criminal offence to destroy or damage a scheduled monument or undertake works without scheduled monument consent.\(^\text{120}\) Cadw will take the lead in the investigation into reports of damage and may report substantiated incidents to the police. The final decision to take a case for damage to the courts lies with the Crown Prosecution Service. A conviction for any offence can be punishable by a fine and in the case of intentional or reckless damage or destruction can also result in imprisonment.

A.10. Where Cadw has identified that damage is occurring at a scheduled monument it will seek an immediate cessation of works through the issue of a temporary stop notice requiring the specified works to cease for up to 28 days.\(^\text{121}\) A copy of the notice will be posted on the site and copies served on

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\(^{118}\) Section 17 was amended by the National Heritage Act 1983 (c. 47), Schedule 4, paragraph 43.

\(^{119}\) Section 24 was amended by the National Heritage Act 1983 (c. 47), Schedule 4, paragraph 48.

\(^{120}\) Ancient Monuments and Archaeological Areas Act 1979, sections 2 and 28.

\(^{121}\) Ancient Monuments and Archaeological Areas Act 1979, sections 9ZI-9ZI
the person carrying out the works, the occupier and anyone else considered to have an interest in the site. Non-compliance with a scheduled monument temporary stop notice is a criminal offence punishable by fine.

A.11 Where damage or unauthorised works to a scheduled monument have taken place, as well as considering the potential for prosecution, the Welsh Ministers have the power to serve a scheduled monument enforcement notice. The enforcement notice can require the restoration of the monument to its former state or, where this is not practical or desirable; require works to take place to alleviate the effects of the damage or unauthorised works. Such works might include an appropriate scheme of archaeological investigation and works to stabilise the monument. A notice will be served as in A.10 although there is no requirement for it to be displayed on site. If the works specified in the enforcement notice have not taken place within the period required by the notice, the Welsh Ministers may enter the monument to carry out the works themselves and recover costs reasonably incurred.

A.12 On rare occasions, the Welsh Ministers can grant scheduled monument consent to authorise works that have already been carried out and have proved to be in the interest of the scheduled monument. Most unauthorised works will not be approved retrospectively and will remain unauthorised. Potentially they will be subject to prosecution or enforcement even if scheduled monument consent may have been granted had it been applied for.

A.13 The Ancient Monuments and Archaeological Areas Act 1979 provides a number of defences including genuine and reasonable ignorance of the scheduled status of the site, and the need to undertake urgently necessary work in the interests of health and safety. Where someone has undertaken unauthorised works, they will have to demonstrate that they took all reasonable steps to find out whether there was a scheduled monument in the area affected by the works and that they had no reason to believe that it was protected. Information on the location and extent of all scheduled monuments is available on the Cadw website.

A.14 It is an offence to use a metal detector or undertake a geophysical survey using equipment which can identify metal objects on a scheduled monument without the prior consent of the Welsh Ministers. Most metal detector users act responsibly. However, illegal metal detecting can cause serious damage to a scheduled monument—not only to its fabric, but also to

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122 Ancient Monuments and Archaeological Areas Act 1979, section 2
123 Ancient Monuments and Archaeological Areas Act 1979, section 2(8) and (9).
125 Ancient Monuments and Archaeological Areas Act 1979, Section 42
its interpretation and understanding once artefacts have been removed from their archaeological context. A written application to use a metal detector or geophysical survey equipment should be made to the Welsh Ministers through Cadw. Consent is not normally given except for non-destructive geophysical research projects, or to assist in the recovery of metal objects during consented archaeological excavations or for the recovery of valuable items of modern lost property.
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Annex B: Listed Buildings

Statutory List of Historic Buildings

B.1 Following a systematic geographical resurvey, which was completed in 2005, there are now over 30,000 listed buildings in Wales. The list of historic buildings is published on a database and maps on Cadw's website.  

B.2 The following are the main criteria in deciding which buildings to include in the statutory lists:

a. Architectural interest: the list is meant to include all buildings which are of importance to the nation for the interest of their architectural design, decoration and craftsmanship; also important examples of particular building types and techniques (for example, buildings displaying technological innovation or virtuosity) and significant plan forms.

b. Historic interest: this includes buildings that illustrate important aspects of the nation’s social, economic, cultural, or military history.

c. Close historical associations: with people or events of importance to Wales.

d. Group value: especially where buildings contribute an important architectural or historic unity or are fine examples of planning (for example, squares, terraces or model villages).

B.3 Age and rarity are relevant, particularly where buildings are proposed for listing on the strength of their historic interest. The older a building is and the fewer the surviving examples of its kind, the more likely it is to have historical importance. Thus, all buildings built before 1700 which survive in anything like their original condition are listed. Most buildings of about 1700 to 1840 are also listed, though some selection is necessary. After about 1840, because of the greatly increased number of buildings erected and the much larger numbers that have survived, greater selection is necessary to identify the best examples of particular building types and only buildings of definite quality and character are listed. Buildings which are less than 30 years old are normally listed only if they are of exceptional quality and under threat. The approach adopted for twentieth century listing is to identify key examples for each of a range of building types — industrial, educational, hospitals, etc. — and to treat these examples as broadly defining a standard against which to judge proposals for additions to the list.

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B.4 Selectivity
Where a building qualifies for listing primarily on the strength of its intrinsic architectural quality or its group value, the fact that there are other buildings of similar quality elsewhere is not likely to be a major consideration. The listing of buildings primarily for historical reasons is to a greater extent a comparative exercise, and, where a substantial number of buildings of a similar type and quality survive, needs to be selective. In such cases the aim will be to list the best examples of the type.

B.5 Aesthetic Merits
The external appearance of a building — both its intrinsic architectural merit and any group value is a key consideration when judging listing proposals, the special interest of a building, for example those which are important for reasons of technological innovation, or as illustrating particular aspects of social or economic history, will not always be reflected in obvious visual quality.

B.6 Historical Associations
Buildings may be listed on grounds of architecture or history and listing selection takes both into account. The claims of a building for listing largely on historical grounds will usually lie in its association with people and events significant in Welsh history. Assessment will consider the extent to which elements of a building’s original contemporary character are retained. Well documented historical associations of a building’s importance to Wales will increase the case for its inclusion in the statutory lists of for a higher grading to be given. There should usually be some quality or interest in the physical fabric of the building itself to justify the statutory protection afforded by listing. This may lie in the architectural merit of the building itself or in the preservation of features which directly illustrate and confirm its historical associations (for example, because of the survival of internal features).

B.7 Grading
Buildings are listed in three grades which reflect their relative importance;
- Grade I – buildings of exceptional, usually national interest
- Grade II* - particularly important buildings of more than special interest
- Grade II – buildings of special interest which warrant every effort being made to preserve them.

Prosecution and Enforcement

B.8 It is a criminal offence to execute, or cause to execute, work to a listed building which affects its character as a building of special architectural or
historic interest without listed building consent. It is also an offence to fail to comply with any conditions of a listed building consent.  

B.9 When faced with a breach of listed building control, local planning authorities will need to consider whether to take enforcement action or to prosecute or both. Enforcement may be desirable for the benefit of the building in question, while the work entailed by enforcement may represent a sufficient response to the offence without the additional need for prosecution. Unauthorised work may often destroy historic fabric, the special interest of which cannot be regained by enforcement. A listed building enforcement notice cannot come into effect earlier than 28 days after its service. A temporary stop notice can bring unauthorised works to an immediate halt, avoiding the risk of further damage to the historic fabric of the building.

B.10 In exceptional circumstances, applications can be made to retain unauthorised works. Local planning authorities will follow the same procedures described above for listed building consent and will consider the merits of the case against the same tests. If consent is granted then the works can remain; if not, then enforcement might follow seeking to rectify any damage or remove the works and, in extreme cases, a prosecution might be initiated.

**Listed Buildings in Need of Repair**

B.11 Local planning authorities have the power to undertake urgent works to ensure the preservation of a listed building in their area. Urgent works can be carried out to any listed building in Wales as long as they do not unreasonably interfere with its residential use. In exceptional circumstances, the Welsh Ministers can use the same power to have urgent works undertaken to a listed building where the local authority is reluctant to take action, or the building is owned by a local authority itself. Urgent works may include those works that may be needed to prevent a building’s collapse, make it wind and weather tight or secure it against vandalism and theft. The steps taken should be the minimum necessary to achieve these objectives. Local planning authorities may recover the cost of carrying out the urgent works from the building owners, though the owners do have the right to make representations to the Welsh Ministers on the grounds of whether some or all of the works undertaken by the local planning authority were necessary, the

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127 Planning (Listed Buildings and Conservation Areas) Act 1990, section 9
129 Planning (Listed Buildings and Conservation Areas) Act 1990, section 44B.
130 Planning (Listed Buildings and Conservation Areas) Act 1990 section 54(amended by the Historic Environment (Wales) Act 2016.)
costs were reasonable and that their temporary arrangements have continued for an unreasonable length of time.

B.12 The owners or local planning authority can also appeal the decision of the Welsh Ministers to the county court. The expenses incurred in carrying out urgent works can also be placed as a charge on the land on which the listed building stands and interest charged on any sums owed.131

B.13 Local planning authorities also have the power to issue a Repairs Notice on the owner of a listed building that it considers is not being properly preserved as a preliminary to initiating procedures for compulsory acquisition.132 A Repairs Notice must specify the works that the local planning authority considers necessary for the proper preservation of the building and must explain to the owner how this part of the legislation works. A Repairs Notice may be issued for any listed building where the local planning authority considers that there has been a protracted failure by an owner to keep the building in reasonable repair and so places the building at risk. The Welsh Ministers have the same powers, but these will only be used in exceptional circumstances.

B.14 If, after two months has passed following the serving of a Repairs Notice and it appears that no reasonable measures have been taken to secure the preservation of the building, the local planning authority may begin compulsory purchase proceedings after receiving confirmation from the Welsh Ministers. In giving their confirmation, the Welsh Ministers must be satisfied that the means and resources are in place to secure the building's repair. Private listed buildings which are the subject of compulsory purchase, should, wherever possible, remain in the private sector. The local planning authority should reach an agreement with a private individual or a body, such as a building preservation trust, which has access to the resources necessary to undertake the repairs. Covenants will need to be negotiated to ensure the repairs are carried out. Local planning authorities may sell or grant a long lease on a compulsorily purchased listed building, to an appropriate body within two years, at no capital gain to themselves, without penalty.

B.15 Local planning authorities may not consider the use of a dangerous structure order133 for a listed building, a building subject to a Building Preservation Notice or a building in a conservation area, without first considering the use of an urgent works or building Repairs Notice. If a

133 Building Act 1984, section 77(1) (a).
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dangerous structure order is issued it must have been the subject of a listed building or conservation area consent.
Annex C: Registered Historic Assets

The Register of Historic Parks and Gardens in Wales

C.1 The definition of a historic park and garden\textsuperscript{134} by which sites are selected for the register is:

- Gardens, parks, designed grounds, designed ornamental landscapes and places of recreation are of historic interest when they:
  - illustrate some particular aspect of the history of gardens, parks, designed grounds, designed ornamental landscapes and places of recreation, or the history of gardening, ornamental landscaping or horticulture
  - have significant historic associations (for example, with a particular person or event)
  - have a group value with buildings or other land and the group value is of historic interest, for example, they may provide a historic setting for a building of historic interest.

C.2 Sites that conform with the traditions of gardens, parks, designed grounds, designed ornamental landscapes and places of recreation are included within the definition. For general purposes it is convenient to use the terms 'gardens and parks of historic interest' and 'site' as abbreviations for 'gardens, parks, designed grounds, designed ornamental landscapes and places of recreation of historic interest'.

C.3 Originally, in Wales, historic parks and gardens formed part of a more extensive Register of Landscapes, Parks and Gardens of Special Historic Interest in Wales. Work to create this part of the register was undertaken by Cadw in partnership with ICOMOS UK (the International Council on Monuments and Sites). The part of this register covering historic parks and gardens in Wales was issued in county volumes between 1994 and 2002. A supplementary register of 14 additional sites was published in 2007. Since that date new entries to the register have been notified individually to owners and local planning authorities directly. There are about 400 sites on this register.

C.4 A grading system similar to that used for listed buildings (I, II*, II) is used for parks and gardens. Grades indicate the following qualities:

- Grade I Parks and gardens which by reason of their historic layout, features and architectural ornaments considered together make them of exceptional interest.

\textsuperscript{134} Developed by the University of York, and adopted in Wales, as well as in England and Scotland
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Grade II* Parks and gardens which by reason of their historic layout, features and architectural ornaments considered together make them of great quality.

Grade II Parks and gardens which by reason of their historic layout, features and architectural ornaments considered together make them of special interest.

The Register of Historic Landscapes in Wales

C.5 This register was published in two parts. Part 2.1 Landscapes of Outstanding Historic Interest was published in 1998 and contained 36 areas and Part 2.2 Landscapes of Special Historic Interest, published in 2001, contained a further 22 areas, generally of smaller size. These two parts will be combined to form the Register of Historic Landscapes in Wales.

C.6 The European Landscape Convention came into force in the UK on 1 March 2007. Under the convention, member states are required to undertake general and specific measures through its own legislative powers and administrative arrangements. The general measures require each party to recognise landscapes in law, establish and implement landscape policies, ensure widespread participation and integrate landscape into planning and other policies. The specific measures include; awareness raising, training and education, identification and assessment, defining landscape quality objectives and implementation. The publication of the Register of Historic Landscapes and its use in development planning and management goes towards meeting this undertaking.

C.7 The criteria for identifying, either singly or in combination, landscapes of outstanding or special historic interest are:

Intensively developed or extensively remodelled
Landscapes in which development or change as a result of human activity (land use) has been so intense, resulting in substantial alterations to the natural (landform) and semi-natural (land cover) elements: large towns, cities, conurbations, industrial areas, large-scale civil engineering projects, landscapes showing human endeavour on a grand scale.

Period
Landscapes in which development or change as a result of human activity has been arrested at one or more stages and subsequent material alteration restricted: relict (or fossil) landscapes showing human activity within one or more periods, perhaps abandoned or essentially unchanged after the principal activity ceased.

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**Historic diversity/Multi-period**
Landscapes in which development or change as a result of human activity continues, and into which past evidence from more than one period has become cumulatively assimilated with the natural (landform) and semi-natural (land cover) elements, with particular regard for those areas in which evolution over time is shown by a variety of archaeological or historic features with one or more of the following attributes:

a. different forms of the same type of class of feature
b. range of different features
c. density of related features
d. particular group value
e. continuity through several periods
f. features relating to the development of a particular theme or process.

**Buried/Subsumed or destroyed**
Landscapes with historic (events, traditions, legends, folklore), artistic, literary, architectural, technological, religious, or other important cultural association, either singly or in combination.
### Annex D: Glossary of Terms

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<tr>
<th>Term</th>
<th>Definition</th>
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<tr>
<td>Aesthetic value</td>
<td>Value deriving from the ways in which people draw sensory and intellectual stimulation from a place</td>
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<tr>
<td>All-Wales Listed Building Condition Survey</td>
<td>A programme of surveys of listed buildings across Wales using a consistent methodology</td>
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<tr>
<td>Applicant</td>
<td>An individual or organisation applying to the relevant body for planning, scheduled monument, listed building or conservation area consent.</td>
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<tr>
<td>Archaeological evaluation</td>
<td>A limited programme of non intrusive and/or intrusive fieldwork which determines the presence or absence of archaeological features, deposits or artefacts within a specified area or site on land, intertidal zone or underwater</td>
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<tr>
<td>Archaeological excavation</td>
<td>A programme of controlled intrusive fieldwork with defined research objectives which examines, records and interprets archaeological structures and as appropriate, retrieves artefacts, ecofacts and other remains within a specified area or site on land, intertidal zone or underwater</td>
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<tr>
<td>Archaeological site</td>
<td>A place or group of physical sites in which evidence of past human activity is preserved; the place where a historic asset is located</td>
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<td>Archaeologically sensitive areas</td>
<td>Areas where there is a concentration of archaeological sites</td>
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<td>Buffer Zone (World Heritage Site)</td>
<td>An area surrounding the nominated property which has complementary legal and/or customary restrictions placed on its use and development to give an added layer of protection to the property</td>
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<td>Building Preservation Notice</td>
<td>Temporary listing of a building that is of special architectural or historic interest that is in imminent danger of demolition or of alteration in such a way as to affect its character</td>
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<tr>
<td>Certificate of Immunity</td>
<td>A legal guarantee that a building will not be listed during the five years starting with the date on which the certificate is signed by the Welsh Ministers</td>
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<td>Characterisation</td>
<td>Capturing the local distinctiveness by identifying how places have been shaped over time</td>
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<td>Communal value</td>
<td>Value deriving from the meaning of a place for the people who relate to it, or from whom it figures in their collective experience or memory</td>
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<td>Conservation</td>
<td>A process of maintaining or managing change to a historic asset in its setting in ways that will best sustain its heritage values, while recognising opportunities to reveal or reinforce those values for present and future generations</td>
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<td>Term</td>
<td>Definition</td>
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<tr>
<td>Conservation Area</td>
<td>An area of special architectural or historic interest, the character or appearance of which it is desirable to preserve or enhance, designated under the Planning (Listed Buildings and Conservation Areas) Act 1990</td>
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<td>Conservation Management Plan</td>
<td>A conservation management plan describes the significance of a historic asset and explains how it will be protected, conserved and sustained. It sets out general policies and principles for managing the asset which will retain its significance, together with detailed programmes for maintenance, repair, access and use, and proposals for change.</td>
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<td>Curator</td>
<td>A person or organisation responsible for the conservation and management of archaeological evidence by virtue of official or statutory duty, including for example County, District or Council archaeological officers, and the national bodies, English Heritage, Historic Scotland, The Welsh Government’s Historic Environment Service (Cadw), Department of Environment, Northern Ireland and Manx Heritage.</td>
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<td>Designated historic asset</td>
<td>A World Heritage Site, Scheduled Monument, Listed Building, Protected Wreck Site, Registered Historic Park and Garden, Registered Historic Landscape or Conservation Area designated as such under the relevant legislation.</td>
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<tr>
<td>Desk based assessment</td>
<td>A programme of study of the historic environment within a specified area or site on land, the inter-tidal zone or underwater that addresses agreed research and/or conservation objectives. It consists of an analysis of existing written, graphic, photographic and electronic information in order to identify the likely heritage assets, their interests and significance and the character of the study area, including appropriate consideration of the settings of heritage assets and, the nature, extent and quality of the known or potential archaeological, historic, architectural and artistic interest. Significance is to be judged in a local, regional, national or international context as appropriate</td>
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<tr>
<td>Developer</td>
<td>one who builds on land or alters the use of an existing building for some new purpose</td>
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<td>Enhance</td>
<td>improve the quality, value, or extent of</td>
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<td>Environmental Impact Assessment (EIA)</td>
<td>An analytical process that systematically examines the possible environmental consequences of the implementation of projects as specified in EIA Directive 2011/92/EU (as amended). The assessments are reported in an Environmental Statement (ES)</td>
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<td>Evidential value</td>
<td>Value deriving from the potential of a place to yield evidence about past human activity</td>
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<p>| Geophysical Survey | Archaeological geophysical survey uses non-intrusive and non-destructive techniques to determine the presence or absence of anomalies likely to be caused by archaeological features, structures or deposits, as far as reasonably possible, within a specified area or site on land, in the inter-tidal zone or underwater. Geophysical survey determines the presence of anomalies of archaeological potential through measurement of one or more physical properties of the subsurface. (IFA) |
| Historic asset | An identifiable component of the historic environment. It may consist or be a combination of an archaeological site, a historic building or area, historic park and garden or a parcel of historic landscape. Nationally important historic assets will normally be designated. |
| Heritage Impact Assessment | Heritage impact assessment is a structured process to ensure that the significance of a historic asset is taken into account when planning changes and applying for consents. It tests whether the proposals for change to a historic asset are appropriate by assessing their impact on its significance. |
| Heritage Impact Statement | The results of a heritage impact assessment are summarised in a heritage impact statement and is submitted with a consent application. It explains the proposed work, justification for the proposed work, the significance of the historic asset affected, an assessment of the impact of the proposed work, a summary of mitigation measures and an access statement, if required. |
| Historic Environment | All aspects of the environment resulting from the interaction between people and places through time, including all surviving physical remains of past human activity, whether visible, buried or submerged, and deliberately planted or managed. |
| Historic Environment Records (HERs) | A public, map-based data set, primarily intended to inform the management of the historic environment. In Wales these are maintained by the four Archaeological Trusts |
| Historic fabric | The material substances which make up a historic asset, including the upstanding physical remains and the buried archaeological deposits |
| Historic value | Value deriving from the ways in which past people, events and aspects of life can be connected through a place to the present. |
| Listed Building | A building of special architectural or historic interest which is included in a list maintained by the Welsh Ministers |
| Local Development Plan (LDP) | The required statutory development plan for each local planning authority area in Wales under Part 6 of the Planning and Compulsory Purchase Act 2004. |</p>
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<td><strong>Setting</strong></td>
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<td><strong>Significant</strong></td>
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<td><strong>Statement of significance</strong></td>
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<td><strong>Strategic Environmental Assessment (SEA)</strong></td>
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<td><strong>Sustainable development</strong></td>
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<td><strong>Written Scheme</strong></td>
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<table>
<thead>
<tr>
<th>Term</th>
<th>Definition</th>
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<tr>
<td>of Investigation</td>
<td>including methods, timetable and resources. These form the framework for the execution of the project through to completion, set out in sufficient detail to be quantifiable, implemented and monitored. Normally prepared by an archaeologist or organisation undertaking the fieldwork, frequently in response to a brief/ project outline or specification. The project design may be updated following post-exavation assessment.</td>
</tr>
<tr>
<td>World Heritage Site</td>
<td>A natural or manmade site, area or structure recognised as being of outstanding international importance and therefore deserving special protection.</td>
</tr>
</tbody>
</table>
Annex E: Contacts and sources of further information

Welsh Government
Website: www.wales.gov.uk

Cadw
Plas Carew
Unit 5/7 Cefn Coed
Parc Nantgarw
Cardiff
CF15 7QQ
Tel: 01443 336000
Email: Cadw@wales.gsi.gov.uk
Web site: www.Cadw.gsi.gov.uk

Royal Commission on the Ancient & Historical Monuments of Wales (RCAHMW)
Plas Crug
Aberystwyth
SY23 1NJ
Tel: 01970 621200
Email: nmr.wales@rcahmw.gov.uk
Web site: www.rcahmw.gov.uk

Council of British Archaeology (CBA)
Beatrice De Cardi House
66 Bootham
York
Y030 7BZ
Tel: 01904 671417
Email: webenquiry@archaeologyUK.org
Web site: www.new.archaeologyuk.org

The Chartered Institute of Field Archaeologists
Miller Building
University of Reading
Reading
RG6 6AB
Tel: 0118 378 6446
Email: admin@archaeologists.net
Web site: www.archaeologists.net

Clwyd-Powys Archaeological Trust
41 Broad Street
Welshpool
Powys
SY21 7RR
Tel: 01938 553670
Email: trust@cpat.org.uk
Web site: www.cpat.org.uk

Dyfed Archaeological Trust
The Shire Hall
Carmarthen Street
Llandeilo
Carmarthen
SA19 6AF
Tel: 01558 823121
Email: info@dyfedarchaeology.org.uk
Web site: www.dyfedarchaeology.org.uk

Glamorgan-Gwent Archaeological Trust
Heathfield House
Heathfield
Swansea
SA1 6EL
Tel: 01792 655208
Email: enquiries@ggat.org.uk
Website: www.ggat.org.uk

Gwynedd Archaeological Trust
Craig Beuno
Garth Road
Bangor
Gwynedd
LL57 2RT
Tel: 01248 352535
Email: gat@heneb.co.uk
Website: www.heneb.co.uk
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The Society for the Protection of Ancient Buildings (SPAB)
37 Spital Square
London
E1 6DY
Tel: 020 7377 1644
Email: info@SPAB.org.uk
Website: www.spab.org.uk

The Georgian Group
6 Fitzroy Square
London
W1T 5DX
Tel: 087 1750 2936
Email: info@georgiangroup.org.uk
Website: www.georgiangroup.org.uk

The Victorian Society
1 Priory Gardens
London
W4 1TT
Tel: 020 8994 1019
Email: admin@victoriansociety.org.uk
Website: www.victoriansociety.org.uk

The Civic Trust for Wales
1/07 Creative Quarter
Morgan Arcade
Cardiff
CF10 1AF
Email: civictrustwales@gmail.com
Website: www.civictrustwales.org

The Architectural Heritage Fund
15 Whitehall
London
SW1A 2DD
Tel: 020 7925 0199
Email: ahf@ahfund.org.uk
Website: www.ahfund.org.uk

Ancient Monuments Society
St Ann’s Vestry Hall
2 Church Entry
London
EC4V 5HB
Tel: 020 7236 3934
Email: office@ancientmonumentssociety.org.uk
Website: www.ancientmonumentssociety.org.uk

The United Kingdom Association of Preservation Trusts (Wales)
6th floor
15 Whitehall
London
SW1A 2DD
Tel: 020 3642 2225
Email: admin@ukapt.org.uk
Website: www.ukapt.org.uk

Campaign for the Protection of Rural Wales
Tŷ Gwyn
31 High Street
Welshpool
Powys
SY21 7YD
Tel: 01938 552525 / 556212
Email: info@cprwmail.org
Website: www.cprw.org.uk

Welsh Historic Gardens Trust
Aberglasney Gardens
Llangathen
SA32 8QH
Tel: 01558 668 485
Email: admin@whgt.org.uk
Website: www.whgt.org.uk
Technical Advice Note 24: The Historic Environment

Garden History Society
70 Cowcross Street
London
EC1M 6EJ
Tel: 020 7608 2409
Email: enquiries@gardenhistorysociety.org
Website: www.gardenhistorysociety.org

Historic Buildings Council
Room G-07
Waterman House
5-33 Hill Street
Town Parks
Belfast
BT1 2LA
Tel: 02890 543050
Email: secretariat-hillst@doeni.gov.uk
Website: www.hbcni.gov.uk

The Institute of Historic Building Conservation
Jubilee House
High Street
Tisbury
Wiltshire
SP3 6HA
Tel: 01747 873133
Email: admin@ihbc.org.uk
Website: www.ihbc.org.uk

ICOMOS UK
11 rue du Séminaire de Conflans
94220 Charenton -le-Pont
France
Tel: + 33 (0) 1 41 94 17 59
Email: secretariat@icomos.org
Website: http://www.icomos.org/en/

The Royal Society of Architects in Wales
66 Portland Place
London
W1B 1AD
Tel: 0207 580 5533
Email: info@riba.org
Website: www.architecture.com

Royal Town Planning Institute Cymru
41 Botolph Lane
London
EC3R 8DL
Tel: 020 7929 9494
Email: wales@rtpi.org.uk
Website: www.rtpi.org.uk

Theatres Trust
22 Charing Cross Road
London
WC2H 0QL
Tel: 020 7836 8591
Email: planning@theatrestrust.org.uk
Website: www.theatrestrust.org.uk

Natural Resources Wales
Ty Cambria
29 Newport Road
Cardiff
CF24 0TP
Tel: 03000653000
Email: enquiries@naturalresourceswales.gov.uk
Website: www.naturalresourceswales.gov.uk

Historic Houses Association in Wales
2 Chester Street
London
SW1X 7BB
Tel: 020 7259 5688
Email: info@hha.org.uk
Website: www.hha.org.uk

Representative Body of the Church in Wales
39 Cathedral Road
Cardiff
CF11 9XF
Tel: 029 2034 8200
Email: property@churchinwales.org.uk
Website: www.churchinwales.org.uk


Technical Advice Note 24: The Historic Environment

The United Reform Church
Church House
86 Tavistock Place
London
WC1H 9RT
Tel: 0207 916 2020
Email: urc@urc.org.uk
Website: www.urc.org.uk

Baptist Union of Wales
Y Llwyfan
College Road
Carmarthen
SA31 3EQ
Tel: 01267 245660
Email: mennajones@ubc.cymru
Website: www.buw.org.uk

Baptist Union of Great Britain
Baptist House
PO Box 44
129 Broadway
Didcot
OX11 8RT
Tel: 01235 517700
Website: www.baptist.org.uk

The Methodist Church
25 Marylebone Road
London
NW1 5JR
Tel: 0207 486 5502
Email: enquiries@methodistchurch.org.uk
Website: www.methodist.org.uk

The Catholic Church in England and Wales
Historic Churches Committee for Wales and Herefordshire
Archbishop’s House
41/43 Cathedral Road
Cardiff
CF11 9HD
Tel: 029 2079 0712
Email: hccsecretary12@gmail.com
Website: http://www.catholicnews.org.uk/

UNESCO
www.unesco.org

The Twentieth Century Society
70 Cowcross Street
London
EC1M 6EJ
Tel: 020 7250 3857
Email: caseworker@c20society.org.uk
Website: www.c20society.org.uk

ALGAO (Association of Local Government Archaeological Officers)
Tel: 01904 671417
Email: admin@algao.org.uk
Website: www.algao.org.uk

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